This document is scheduled to be published in the Federal Register on 2026-11-17 and available online at https://www.federalregister.gov/d/2026-23322, and on https://govinfo.gov

8011-01-P

SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235-0618]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 173

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget this request for extension of the previously approved collection of information discussed below.

Rule 173 (17 CFR 230.173) provides a notice of registration to investors who purchased securities in a registered offering under the Securities Act of 1933 (15 U.S.C. 77a et seq.). Rule 173 is intended to inform investors that they purchased securities in a registered transaction to facilitate their ability to trace their purchases for purposes of asserting their rights under the liability provisions of the Federal securities laws. The information required by Rule 173 is mandatory and is not made publicly available. We estimate that responses to Rule 173 are made by approximately 5,720 respondents approximately 43,546 times a year for a total of 249,083,120 responses annually. We estimate that it takes approximately 0.0167 burden hours per response and $0 cost burden per response to provide the information required under Rule 173. As a result, we estimate that the total annual reporting burden for Rule 173 is 4,159,688 hours (0.0167 hours per response x 249,083,120 responses) and that the total annual cost burden is $0.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202608-3235-013 or send an email comment to MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov within 30 days of the day after publication of this notice by **[INSERT DATE 31 DAYS AFTER DATE OF PUBLICATION IN THE *FEDERAL REGISTER*]**.

Dated: November 12, 2026.

Sherry R. Haywood,

Assistant Secretary.

[FR Doc. 2026-23322 Filed: 11/16/2026 8:45 am; Publication Date: 11/17/2026]