



[OMB No. 3064-0072]

## **Agency Information Collection Activities: Proposed Collection Renewal; Comment Request**

**AGENCY:** Federal Deposit Insurance Corporation (FDIC).

**ACTION:** Notice and request for comment.

**SUMMARY:** The FDIC, as part of its obligations under the Paperwork Reduction Act of 1995, invites the general public and other Federal agencies to take this opportunity to comment on the renewal of the existing information collection described below (OMB Control No. 3064-0153). The FDIC is seeking a one-year extension of this information collection. The FDIC, with the other federal banking agencies, has also published a notice of proposed rulemaking that, once finalized, will revise this information collection. The notice of proposed rulemaking is available at 91 FR 15332. A discussion of the revisions to associated information collections and new burden estimates are available at 91 FR 14853.

**DATES:** Comments must be submitted on or before **[INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE *FEDERAL REGISTER*]**.

**ADDRESSES:** Interested parties are invited to submit written comments to the FDIC by any of the following methods:

- *Agency Website:* <https://www.fdic.gov/resources/regulations/federal-register-publications/>.
- *Email:* [comments@fdic.gov](mailto:comments@fdic.gov). Include the name and number of the collection in the subject line of the message.
- *Mail:* Robert Meiers, Regulatory Counsel, MB-3013, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington, DC 20429.

- *Hand Delivery*: Comments may be hand-delivered to the guard station at the rear of the 17th Street NW building (located on F Street NW), on business days between 7 a.m. and 5 p.m.

All comments should refer to the relevant OMB control number. A copy of the comments may also be submitted to the OMB desk officer for the FDIC: Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503.

**FOR FURTHER INFORMATION, CONTACT:** Robert Meiers, Regulatory Attorney, [Romeiers@fdic.gov](mailto:Romeiers@fdic.gov), MB-3013, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington, DC 20429.

**SUPPLEMENTARY INFORMATION:**

Proposal to renew the following currently approved collection of information:

1. Title: Regulatory Capital Rules.

OMB Number: 3064-0153.

Affected Public: Insured state nonmember banks and state savings associations.

Burden Estimate:

ESTIMATED HOURLY BURDEN					
<b>BASEL III Advanced Approaches: RECORDKEEPING and DISCLOSURE</b>	<b>Type of Burden</b>	<b>Estimated Number of Respondents</b>	<b>Estimated Time per Response</b>	<b>Frequency of Response</b>	<b>Total Annual Estimated Burden</b>
Implementation plan -- Section .121(b): Ongoing	Recordkeeping	2	330	On Occasion	660
Documentation of advanced systems -- Section .122(j): Ongoing	Recordkeeping	2	19	On Occasion	38
Systems maintenance -- Sections .122(a), .123(a), .124(a): Ongoing	Recordkeeping	2	27.9	On Occasion	55.80
Supervisory approvals -- Sections .122(d)-(h), .132(b)(3), .132(d)(1), .132(d)(1)(iii): Ongoing	Recordkeeping	2	16.82	On Occasion	33.64
Control, oversight and verification of systems -- Sections .122 to .124: Ongoing	Recordkeeping	2	11.05	On Occasion	22.10
(CCR) -- Section .132(b)(2)(iii)(A): One-time	Recordkeeping	1	80	On Occasion	80
(CCR) -- Section .132(b)(2)(iii)(A): Ongoing	Recordkeeping	2	16	On Occasion	32
(CCR) -- Section .132(d)(2)(iv): One-time	Recordkeeping	1	80	On Occasion	80
(CCR) -- Section .132(d)(2)(iv): Ongoing	Recordkeeping	2	40	On Occasion	80
(CCR) -- Section .132(d)(3)(vi): One-time	Recordkeeping	1	80	On Occasion	80
(CCR) -- Section .132(d)(3)(viii): One-time	Recordkeeping	1	80	On Occasion	80
(CCR) -- Section .132(d)(3)(viii): Ongoing	Recordkeeping	2	10	Quarterly	80
(CCR) -- Section .132(d)(3)(ix): One-time	Recordkeeping	1	40	On Occasion	40
(CCR) -- Section .132(d)(3)(ix): Ongoing	Recordkeeping	1	40	On Occasion	40

(CCR) -- Section .132(d)(3)(x): One-time	Recordkeeping	1	20	On Occasion	20
(CCR) -- Section .132(d)(3)(xi): One-time	Recordkeeping	1	40	On Occasion	40
(CCR) -- Section .132(d)(3)(xi): Ongoing	Recordkeeping	1	40	On Occasion	40
(OC) -- Section .141(b)(3), .141(c)(1), .141(c)(2)(i)-(ii), .153: One-time	Recordkeeping	1	40	On Occasion	40
(OC) -- Section .141(c)(2)(i)-(ii): Ongoing	Recordkeeping	1	10	Quarterly	80
(CCR) -- Section .132(b)(2)(iii)(A): One-time	Reporting	1	80	On Occasion	80
(CCR) -- Section .132(b)(2)(iii)(A): Ongoing	Reporting	1	16	On Occasion	16
(CCR) -- Section .132(d)(2)(iv): One-time	Reporting	1	80	On Occasion	80
(CCR) -- Section .132(d)(2)(iv): Ongoing	Reporting	1	40	On Occasion	40
Section .153(b): One-time	Reporting	1	1	On Occasion	1
Supervisory approvals—Sections .123, .124, .132(b)(3), .132(d)(1), .132(d)(1)(iii) Ongoing	Recordkeeping	1	56	On Occasion	56
Sections .142 and .172: Ongoing	Disclosure	1	5.78	On Occasion	5.78
(CCB and CCYB)—Section .173, Table 4	Disclosure	1	25.00	Quarterly	100
Securitization)—Section .173, Table 9. (IRR)—Section .173, Table 12 Ongoing. (CCB and CCYB)—Section .173, Table 4	Disclosure	1	200	On Occasion	200
(Capital Structure)—Section .173, Table 2: Ongoing	Disclosure	1	2.00	Quarterly	8
(Capital Structure)—Section .173, Table 2: One-time	Disclosure	1	16.00	On Occasion	16
(Capital Adequacy)—Section .173, Table 3: Ongoing	Disclosure	1	2.00	Quarterly	8
(Capital Adequacy)—Section .173, Table 3: One-time	Disclosure	1	16.00	On Occasion	16
(CR)—Section .173, Table 5: Ongoing	Disclosure	1	12.00	Quarterly	48
(CR)—Section .173, Table 5: One-time	Disclosure	1	96.00	On Occasion	96.00
(CR)—Section .173, Table 13: Ongoing	Disclosure	1	5.00	Quarterly	20.00
Section .124(a): Ongoing	Disclosure	1	0.50	Quarterly	2
					788
SUBTOTAL: One-time Recordkeeping and Disclosure					
					813
SUBTOTAL: Ongoing Recordkeeping and Disclosure					
TOTAL RECORDKEEPING and DISCLOSURE					1,601

Minimum Regulatory Capital Ratios: RECORDKEEPING	Type of Burden	Estimated Number of Respondents	Estimated Time per Response	Frequency of Response	Total Annual Estimated Burden
(CCR Operational Requirements) -- Sections .3(d) and .22(h)(2)(iii)(A): Ongoing	Recordkeeping	3,038	16	On Occasion	48,608
SUBTOTAL: One-time Recordkeeping					0
SUBTOTAL: Ongoing Recordkeeping					48,608
TOTAL RECORDKEEPING					48,608
Standardized Approach: RECORDKEEPING and DISCLOSURE	Type of Burden	Estimated Number of Respondents	Estimated Time per Response	Frequency of Response	Total Annual Estimated Burden

(QCCP) -- Section .35(b)(3)(i)(A): One-time	Recordkeeping	1	2	On Occasion	2
(QCCP) -- Section .35(b)(3)(i)(A): Ongoing	Recordkeeping	3,038	2	On Occasion	6,076
(CT) -- Section .37(c)(4)(i)(E): One-time	Recordkeeping	1	80	On Occasion	80
(CT) -- Section .37(c)(4)(i)(E): Ongoing	Recordkeeping	3,038	16	On Occasion	48,608
(SE) -- Section .41(b)(3) and .41(c)(2)(i): One-time	Recordkeeping	1	40	On Occasion	40
(SE) -- Section .41(c)(2)(ii): Ongoing	Recordkeeping	3,038	2	On Occasion	6,076
(S.E.) -- Section .42(e)(2), (C.R.) Sections .62(a),(b),& (c), (Q&Q) Sections .63(a) & (b): One-time	Disclosure	1	226.25	On Occasion	226.25
(S.E.) -- Section .42(e)(2), (C.R.) Sections .62(a),(b),& (c), (Q&Q) Sections .63(a) & (b) and .63 Tables: Ongoing	Disclosure	1	131.25	Quarterly	525
SUBTOTAL: One-time Recordkeeping and Disclosure					348
SUBTOTAL: Ongoing Recordkeeping and Disclosure					61,286
Total Recordkeeping, Reporting, and Disclosure					61,634
Total One-Time Burden Hours					1,136
Total Ongoing Burden Hours					110,707
Total Burden Hours					111,843

General Description of Collection: This collection comprises the recordkeeping, reporting, and disclosure requirements associated with minimum capital requirements and overall capital adequacy standards for insured state nonmember banks, state savings associations, and certain subsidiaries of those entities. The data is used by the FDIC to evaluate capital before approving various applications by insured depository institutions, to evaluate capital as an essential component in determining safety and soundness, and to determine whether an institution is subject to prompt corrective action provisions.

There is no change in the method, substance, or burden of the collection

Request for Comment

Comments are invited on: (a) whether the collections of information are necessary for the proper performance of the FDIC's functions, including whether the

information has practical utility; (b) the accuracy of the estimates of the burden of the information collections, including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collections of information on respondents, including through the use of automated collection techniques or other forms of information technology. All comments will become a matter of public record.

Federal Deposit Insurance Corporation.  
Dated at Washington, DC on May 18, 2026.

**Jennifer M. Jones,**  
*Deputy Executive Secretary.*

***BILLING CODE 6714-01-P***

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