



**DEPARTMENT OF COMMERCE**

**National Technical Information Service**

**Agency Information Collection Activities; Submission to the Office of Management and Budget (OMB) for Review and Approval; Revision of Currently Approved Information Collection; Comment Request; Limited Access Death Master File Certification Program Forms**

The Department of Commerce will submit the following information collection request to the Office of Management and Budget (OMB) for review and clearance in accordance with the Paperwork Reduction Act of 1995, on or after the date of publication of this notice. We invite the general public and other Federal agencies to comment on proposed and continuing information collections, which helps us assess the impact of our information collection requirements and minimize the public’s reporting burden. Public comments were previously requested via the Federal Register on January 30, 2026, during a 60-day comment period. This notice allows for an additional 30 days for public comments.

*Agency:* National Technical Information Service (NTIS), Commerce.

*Title of Information Collection:* Limited Access Death Master File (LADMF) Certification Program Forms

*OMB Control Number:* 0692-0013

*Form Number(s):* NTIS FM161, NTIS FM101, NTIS FM100A and NTIS FM100B

*Type of Request:* Regular Submission. Revision of currently approved collection.

*Number of Respondents, Average Hours Per Response, Burden Hours, Frequency:*

	NTIS FM161	NTIS FM101	NTIS FM100A	NTIS FM100B
<b><i>Number of Respondents</i></b>	260	65	250	30
<b><i>Average Hours Per</i></b>	3 hours	1 hour	3 hours	3 hours

<b><i>Response</i></b>				
<b><i>Burden Hours</i></b>	780	65	750	90
<b><i>Frequency</i></b>	Once a year	Every 3 years	Every 3 years	Every 3 years

*Needs and Uses:* The final rule for the LADMF Certification Program was promulgated under Section 203 of the Bipartisan Budget Act of 2013, Pub. L. 113-67 (Act) and published on June 1, 2016 (81 FR 34882). The rule became effective on November 28, 2016 (15 CFR Part 1110).

The Act prohibits the Secretary of Commerce (Secretary) from disclosing Death Master File (DMF) information during the three-year period following an individual’s death (Limited Access DMF or LADMF), unless the person requesting the information has been certified to access the Limited Access DMF pursuant to certain criteria in a program that the Secretary establishes. The Secretary delegated the authority to carry out Section 203 to the Director of NTIS. The final rule requires that:

- a. a Person, as defined in 15 CFR 1110.2, seeking access to the LADMF establish a legitimate fraud prevention interest or legitimate business purpose pursuant to a law, governmental rule, regulation, or fiduciary duty. The Certification Form (NTIS FM161) collects information that NTIS will use to evaluate whether the respondent qualifies to receive the LADMF under the rule.
- b. a Person seeking certification or a Certified Person, as defined in 15 CFR 1110.2, seeking renewal of a certification must submit a written attestation from an “Accredited Conformity Assessment Body” (ACAB), as defined in 15 CFR 1110.2, that such Person or Certified Person has information security systems, facilities and procedures in place to protect the security of the Limited Access DMF, as required under 15 CFR 1110.102(a)(2). The ACAB Systems Safeguards Attestation Form (NTIS FM100A) collects information based on an assessment

by the ACAB conducted within three years prior to the date of the Person or Certified Person's submission of a completed certification statement under 15 CFR 1110.101(a). This collection includes specific requirements of the final rule, which the ACAB must certify are satisfied, and the provision of specific information by the ACAB, such as the date of the assessment and the auditing standard(s) used for the assessment.

- c. the ACAB must be independent of the Person or Certified Person seeking certification, unless it is a conformity assessment body which qualifies for "firewalled status" pursuant to 15 CFR 1110.502.

The Firewalled Status Application Form (NTIS FM101) collects information that NTIS will use to evaluate whether the respondent qualifies for "firewalled status" under the rule, and, therefore, can provide a written attestation in lieu of an independent ACAB's attestation. This information includes specific requirements of 15 CFR 1110.502(b), which the respondent ACAB must certify are satisfied, and the provision of specific information by the respondent ACAB, such as the identity of the Person or Certified Person that would be the subject of the attestation and the basis upon which the certifications were made.

- d. under 15 CFR 1110.501(a)(2), a state or local government office of Auditor General (AG) or Inspector General (IG) and a Person or Certified Person that is a department or agency of the same state or local government, respectively, are not considered to be owned by a common "parent" entity under 15 CFR 1110.501(a)(1)(ii) for the purpose of determining independence, and attestation by the AG or IG is possible. The AG or IG Systems Safeguards Attestation Form (NTIS FM100B) is for the use of a state or local government AG or IG to attest on behalf of a state or local government department or agency Person or Certified Person. The AG or IG Systems Safeguards Attestation Form requires the state or

local government AG or IG to attest that a Person seeking certification or a Certified Person seeking renewal of certification has information security systems, facilities and procedures in place to protect the security of the Limited Access DMF, as required under 15 CFR 1110.102(a)(2). The AG or IG Systems Safeguards Attestation Form collects information based on an assessment by the state or local government AG or IG conducted within three years prior to the date of the Person or Certified Person's submission of a completed certification statement under 15 CFR 1110.101(a). This collection includes specific requirements of the final rule, which the state or local government AG or IG must certify are satisfied, and the provision of specific information by the state or local government AG or IG, such as the date of the assessment.

*Affected Public:* Anyone seeking access to the Limited Access Death Master File and Accredited Conformity Assessment Bodies and state or local government Auditors General or Inspectors General attesting that a Person seeking certification or a Certified Person seeking renewal of certification under the final rule for the "Certification Program for Access to the Limited Access Death Master File" has information security systems, facilities and procedures in place to protect the security of the Limited Access DMF, as required by the final rule.

*Respondent's Obligation:* Voluntary

*Legal Authority:* Section 203 of the Bipartisan Budget Act of 2013, Pub. L. 113-67; 15 CFR Part 1110.

This information collection request may be viewed at [www.reginfo.gov](http://www.reginfo.gov). Follow the instructions to view the Department of Commerce collections currently under review by OMB.

If there is an existing OMB control number: Written comments and recommendations for the proposed information collection should be submitted within 30 days of the publication of this notice on the following website [www.reginfo.gov/public/do/PRAMain](http://www.reginfo.gov/public/do/PRAMain). Find this particular information collection by selecting "Currently under 30-day Review - Open for Public

Comments" or by using the search function and entering either the title of the collection or the OMB Control Number 0692-0013.

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Commerce Department.*

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