



## COMMODITY FUTURES TRADING COMMISSION

### Agency Information Collection Activities Under OMB Review

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice.

**SUMMARY:** In compliance with the Paperwork Reduction Act of 1995 (PRA), this notice announces that the Information Collection Request (ICR) abstracted below has been forwarded to the Office of Information and Regulatory Affairs (OIRA) of the Office of Management and Budget (OMB) for review and comment. The ICR describes the nature of the information collection and its expected costs and burden.

**DATES:** Comments must be submitted on or before **[INSERT DATE 30 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER]**.

**ADDRESSES:** Written comments and recommendations for the proposed information collection should be submitted within 30 days of this notice's publication to OIRA, at <https://www.reginfo.gov/public/do/PRAMain>. Please find this particular information collection by selecting "Currently under 30-day Review—Open for Public Comments" or by using the website's search function. Comments can be entered electronically by clicking on the "comment" button next to the information collection on the "OIRA Information Collections Under Review" page, or the "View ICR—Agency Submission" page. A copy of the supporting statement for the collection of information discussed herein may be obtained by visiting <https://www.reginfo.gov/public/do/PRAMain>.

In addition to the submission of comments to <https://Reginfo.gov> as indicated above, a copy of all comments submitted to OIRA may also be submitted to the Commodity Futures Trading Commission (the Commission or CFTC) by clicking on the "Submit Comment" box next to the descriptive entry for OMB Control No. 3038-0099, at <https://comments.cftc.gov/FederalRegister/PublicInfo.aspx>.

Or by either of the following methods:

- *Mail:* Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street, NW, Washington, DC 20581.
- *Hand Delivery/Courier:* Same as Mail above.

All comments must be submitted in English, or if not, accompanied by an English translation. Comments submitted to the Commission should include only information that you wish to make available publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission's regulations.<sup>1</sup> The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse or remove any or all of your submission from <https://www.cftc.gov> that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted or removed that contain comments on the merits of the ICR will be retained in the public comment file and will be considered as required under the Administrative Procedure Act and other applicable laws, and may be accessible under the Freedom of Information Act.

**FOR FURTHER INFORMATION CONTACT:** Grey Tanzi, (312) 596-0635, [gtanzi@cftc.gov](mailto:gtanzi@cftc.gov); or Roger Smith, (202) 418-5344, [rsmith@cftc.gov](mailto:rsmith@cftc.gov); Division of Market Oversight, Commodity Futures Trading Commission, 77 West Jackson Boulevard, Suite 800, Chicago, IL 60604 and refer to OMB Control No. 3038-0099.

**SUPPLEMENTARY INFORMATION:**

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<sup>1</sup> 17 CFR 145.9.

*Title:* Process for a Swap Execution Facility or Designated Contract Market to Make a Swap Available to Trade (OMB Control No. 3038-0099). This is a request for extension of a currently approved information collection.

*Abstract:* The collection of information is needed to help determine which swaps should be subject to the trade execution requirement set forth in section 2(h)(8) of the Commodity Exchange Act. A swap execution facility (“SEF”) or designated contract market (“DCM”) that submits a determination that a swap is available to trade must address at least one of several factors demonstrating that the swap is suitable for trading pursuant to the trade execution requirement. The Commission uses the collection of information to facilitate the application of the trade execution requirement and requirements associated with methods of swap execution under Parts 37 and 38 of the Commission’s regulations.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. On July 3, 2025, the Commission published in the *Federal Register* notice of the proposed extension of this information collection and provided 60 days for public comment on the proposed extension, 90 FR 29533 (60-Day Notice). The Commission received one comment from a member of the public. The comment did not address the Commission’s request for comment on ways to minimize burden and improve the utility of the collection. The comment suggested erroneously that “CFTC is not associated with rule making and the OMB identification number is not correct or associated with the OMB master number.” This collection is, however, associated with the Commission regulations establishing a process for swap execution facilities and designated contract markets to make a swap “available to trade” for purposes of the trade execution requirement. Moreover, the collection has received approval from OMB.

*Burden Statement:* Sections 37.10 and 38.12 of the Commission's regulations include information collection requirements within the meaning of the PRA. These regulations permit a SEF or DCM to submit a determination that a swap is available to trade to the Commission via filing procedures set forth in Part 40 of the Commission's regulations. The Commission estimates the burden of reviewing the prescribed factors and data to make a determination for this collection to be 16 hours per response.

*Respondents/Affected Entities:* SEFs, DCMs.

*Estimated Number of Respondents:* 3.

*Estimated Average Burden Hours Per Respondent:* 16.

*Estimated Total Annual Burden Hours:* 48.

*Frequency of Collection:* On occasion.

There are no capital costs or operating and maintenance costs associated with this collection.

(Authority: 44 U.S.C. 3501 *et seq.*)

Dated: September 16, 2025.

**Robert Sidman,**

*Deputy Secretary of the Commission.*

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