



[OMB No. 3064-0153]

Agency Information Collection Activities: Proposed Collection Renewal; Comment Request

AGENCY: Federal Deposit Insurance Corporation (FDIC).

ACTION: Notice and request for comment.

SUMMARY: The FDIC, as part of its obligations under the Paperwork Reduction Act of 1995, invites the general public and other Federal agencies to take this opportunity to comment on the renewal of the existing information collection described below (OMB Control No. 3064-0153). The notice of the proposed renewal for this information collection was previously published in the *Federal Register* on March 31, 2023, allowing for a 60-day comment period. No comments were received.

DATES: Comments must be submitted on or before [INSERT DATE 30 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

ADDRESSES: Interested parties are invited to submit written comments to the FDIC by any of the following methods:

- *Agency Website:* <https://www.fdic.gov/resources/regulations/federal-register-publications/>.
- *Email:* comments@fdic.gov. Include the name and number of the collection in the subject line of the message.
- *Mail:* Jennifer Jones (202-898-6768), Regulatory Counsel, MB-3078, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington, DC 20429.
- *Hand Delivery:* Comments may be hand-delivered to the guard station at the rear of the 17th Street NW building (located on F Street NW), on business days between 7:00 a.m. and 5:00 p.m.

Written comments and recommendations for the proposed information collection should

be sent within 30 days of publication of this notice to

www.reginfo.gov/public/do/PRAMain. Find this particular information collection by selecting “Currently under 30-day Review - Open for Public Comments” or by using the search function.

FOR FURTHER INFORMATION CONTACT: Jennifer Jones, Regulatory Counsel, 202-898-6768, jennjones@fdic.gov, MB-3078, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington, DC 20429.

SUPPLEMENTARY INFORMATION:

Proposal to renew the following currently approved collection of information:

1. Title: Regulatory Capital Rules

OMB Number: 3064-0153

Forms: None

Affected Public: Insured state nonmember banks and state savings associations.

Burden Estimate:

ESTIMATED HOURLY BURDEN (3064-0153)					
BASEL III Advanced Approaches: RECORDKEEPING, DISCLOSURE, and REPORTING	Type of Burden	Estimated Number of Respondents	Estimated Time per Response (Hours)	Frequency of Response	Total Annual Estimated Burden (Hours)
Implementation plan -- Section .121(b): Ongoing	Recordkeeping	1	330.00	On Occasion	330
Documentation of advanced systems -- Section .122(j): Ongoing	Recordkeeping	1	19.00	On Occasion	19
(CCR) -- Section .132(d)(3)(vi): One-time	Recordkeeping	1	80.00	On Occasion	80
(CCR) -- Section .132(d)(3)(viii): One-time	Recordkeeping	1	80.00	On Occasion	80
(CCR) -- Section .132(d)(3)(viii) Ongoing	Recordkeeping	1	10.00	Quarterly	40
(CCR) -- Section .132(d)(3)(ix): One-time	Recordkeeping	1	40.00	On Occasion	40
(CCR) -- Section .132(d)(3)(ix): Ongoing	Recordkeeping	1	40.00	On Occasion	40
(CCR) -- Section .132(d)(3)(x): One-time	Recordkeeping	1	20.00	On Occasion	20
(CCR) -- Section .132(d)(3)(xi): One-time	Recordkeeping	1	40.00	On Occasion	40
(CCR) -- Section .132(d)(3)(xi): Ongoing	Recordkeeping	1	40.00	On Occasion	40
(OC) -- Section .141(b)(3), .141(c)(1), .141(c)(2)(i)-(ii) One-time	Recordkeeping	1	39.00	On Occasion	39

(OC) -- Section .141(c)(2)(i)-(ii): Ongoing	Recordkeeping	1	10.00	Quarterly	40
(CCR) -- Section .132(b)(2)(iii)(A): One-time	Reporting	1	80.00	On Occasion	80
(CCR) -- Section .132(b)(2)(iii)(A): Ongoing	Reporting	1	16.00	On Occasion	16
(CCR) -- Section .132(d)(2)(iv): One-time	Reporting	1	80.00	On Occasion	80
(CCR) -- Section .132(d)(2)(iv): Ongoing	Reporting	1	40.00	On Occasion	40
Supervisory approvals -- Sections .121(b)(2), .121(c), .122(d)-(g), .123(a), .124, .132(b)(3), .132(d)(1), .132(d)(1)(iii) Ongoing	Reporting	1	55.77	On Occasion	56
Section .153(b): One-time	Reporting	1	1.00	On Occasion	1
Sections .142 and .172 Ongoing	Disclosure	1	5.78	On Occasion	6
(CCB and CCYB) -- Section .173, Table 4 (Securitization) -- Section .173, Table 9 (IRR) -- Section .173, Table 12 Ongoing	Disclosure	1	25.00	Quarterly	100
(CCB and CCYB) -- Section .173, Table 4 (Securitization) -- Section .173, Table 9 (IRR) -- Section .173, Table 12 One-time	Disclosure	1	200.00	On Occasion	200
(Capital Structure) -- Section .173, Table 2: Ongoing	Disclosure	1	2.00	Quarterly	8
(Capital Structure) -- Section .173, Table 2: One-time	Disclosure	1	16.00	On Occasion	16
(Capital Adequacy) -- Section .173, Table 3: Ongoing	Disclosure	1	2.00	Quarterly	8
(Capital Adequacy) -- Section .173, Table 3: One-time	Disclosure	1	16.00	On Occasion	16
(CR) --Section .173, Table 5: Ongoing	Disclosure	1	12.00	Quarterly	48
(CR) -- Section .173, Table 5: One-time	Disclosure	1	96.00	On Occasion	96
(CR) --Section .173, Table 13: Ongoing	Disclosure	1	5.00	Quarterly	20
Section .124(a): Ongoing	Disclosure	1	0.50	Quarterly	2
SUBTOTAL: One-time Recordkeeping, Reporting, and Disclosure					788
SUBTOTAL: Ongoing Recordkeeping, Disclosure, and Reporting					813
TOTAL RECORDKEEPING, DISCLOSURE, and REPORTING					1,601
Minimum Regulatory Capital Ratios: RECORDKEEPING and REPORTING	Type of Burden	Estimated Number of Respondents	Estimated Time per Response (Hours)	Frequency of Response	Total Annual Estimated Burden (Hours)
(CCR Operational Requirements) -- Sections .3(d) and .22(h)(2)(iii)(A): One-time	Recordkeeping	3,038	8.00	On Occasion	24,304
Regulatory Capital Adjustments and Deductions -- Prior Approval -- Sections .22(e)(5)(i), (e)(6), (d)(2)(i)(C) One-time	Reporting	1	6.00	On Occasion	6
Regulatory Capital Adjustments and Deductions -- Prior Approval -- Section .22(h)(2)(iii)(A) One-time	Reporting	3,038	2.00	On Occasion	6,076
SUBTOTAL: One-time Recordkeeping and Reporting					0
SUBTOTAL: Ongoing Recordkeeping and Reporting					30,386
TOTAL RECORDKEEPING					30,386
Standardized Approach:RECORDKEEPING, REPORTING, and DISCLOSURE	Type of Burden	Estimated Number of Respondents	Estimated Time per Response (Hours)	Frequency of Response	Total Annual Estimated

					Burden (Hours)
(QCCP) -- Section _35(b)(3)(i)(A): One-time	Recordkeeping	1	2.00	On Occasion	2
(QCCP) -- Section _35(b)(3)(i)(A): Ongoing	Recordkeeping	3,038	2.00	On Occasion	6,076
(CT) -- Section _37(c)(4)(i)(E): One-time	Recordkeeping	1	80.00	On Occasion	80
(CT) -- Section _37(c)(4)(i)(E): Ongoing	Recordkeeping	3,038	16.00	On Occasion	48,608
(SE) -- Section _41(b)(3) and _41(c)(2)(i) One-time	Recordkeeping	1	40.00	On Occasion	40
(SE) -- Section _41(c)(2)(ii): Ongoing	Recordkeeping	3,038	2.00	On Occasion	6,076
(CT) -- Section _37(c)(4)(i)(E): Ongoing	Reporting	1	1.00	On Occasion	1
(S.E.) -- Section _42(e)(2) (C.R.) Sections _62(a),(b),& (c) (Q&Q) Sections _63(a) & (b) One-time	Disclosure	1	226.25	On Occasion	226
(S.E.) -- Section _42(e)(2) (C.R.) Sections _62(a),(b),& (c) (Q&Q) Sections _63(a) & (b) and _63 Tables: Ongoing	Disclosure	1	131.25	Quarterly	525
SUBTOTAL: One-time Recordkeeping, Reporting, and Disclosure					348
SUBTOTAL: Ongoing Recordkeeping, Reporting, and Disclosure					61,286
TOTAL RECORDKEEPING, REPORTING, and DISCLOSURE					61,634
TOTAL HOURLY BURDEN					
Total One-Time Burden Hours					1,136
Total Ongoing Burden Hours					92,485
TOTAL BURDEN HOURS					93,621

General Description of Collection: This collection comprises the recordkeeping, reporting, and disclosure requirements associated with minimum capital requirements and overall capital adequacy standards for insured state nonmember banks, state savings associations, and certain subsidiaries of those entities. The data is used by the FDIC to evaluate capital before approving various applications by insured depository institutions, to evaluate capital as an essential component in determining safety and soundness, and to determine whether an institution is subject to prompt corrective action provisions.

There is no change in the method or substance of the collection. The 26,635-hour decrease in burden hours is a result of economic fluctuation, a decrease in the number of entities subject to the information collection, and efforts to align with the other banking agencies' related information collections.

Request for Comment

Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the FDIC's functions, including whether the information has practical utility; (b) the accuracy of the estimates of the burden of the information collection, including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. All comments will become a matter of public record.

Federal Deposit Insurance Corporation.

Dated at Washington, DC, on June 15, 2023.

James P. Sheesley,

Assistant Executive Secretary.

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