



SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-92524; File No. SR-FINRA-2021-014]

Self-Regulatory Organizations; Financial Industry Regulatory Authority, Inc.; Notice of Designation of a Longer Period for Commission Action on a Proposed Rule Change Relating to Members' Filing Requirements under FINRA Rule 6432 (Compliance with the Information Requirements of SEA Rule 15c2-11)

July 29, 2021.

On May 28, 2021, the Financial Industry Regulatory Authority, Inc. (“FINRA”) filed with the Securities and Exchange Commission (“Commission”), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”)¹ and Rule 19b-4 thereunder,² a proposed rule change to amend members’ filing requirements under FINRA Rule 6432 (Compliance with the Information Requirements of Securities Exchange Act Rule 15c2-11). The proposed rule change was published for comment in the Federal Register on June 15, 2021.³

Section 19(b)(2) of the Act⁴ provides that, within 45 days of the publication of notice of the filing of a proposed rule change, or within such longer period up to 90 days as the Commission may designate if it finds such longer period to be appropriate and publishes its reasons for so finding, or as to which the self-regulatory organization consents, the Commission shall either approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether the proposed rule change should be disapproved. The 45th day after publication of the notice for this proposed rule change is July 30, 2021. The Commission is extending this 45-day period.

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.

³ See Exchange Act Release No. 92139 (June 9, 2021), 86 FR 31774 (June 15, 2021). Comments on the proposed rule change can be found at: <https://www.sec.gov/comments/sr-finra-2021-014/srfinra2021014.htm>.

⁴ 15 U.S.C. 78s(b)(2).

The Commission finds it appropriate to designate a longer period within which to take action on the proposed rule change so that it has sufficient time to consider the proposed rule change and the comment received. Accordingly, the Commission, pursuant to Section 19(b)(2) of the Act,⁵ designates September 13, 2021 as the date by which the Commission shall either approve or disapprove, or institute proceedings to determine whether to disapprove, the proposed rule change (File No. SR-FINRA-2021-014).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.⁶

J. Matthew DeLesDernier,

Assistant Secretary.

[FR Doc. 2021-16550 Filed: 8/3/2021 8:45 am; Publication Date: 8/4/2021]

⁵ Id.

⁶ 17 CFR 200.30-3(a)(31).