DEPARTMENT OF HEALTH AND HUMAN SERVICES

National Institutes of Health

Proposed collection; 60-day comment request; Generic Clearance for the Collection of Qualitative Feedback on Agency Service Delivery (NIH)

AGENCY: National Institutes of Health, HHS.

ACTION: Notice.

SUMMARY: In compliance with the requirement of the Paperwork Reduction Act of 1995 to provide opportunity for public comment on proposed data collection projects, the National Institutes of Health (NIH) will publish periodic summaries of proposed projects to be submitted to the Office of Management and Budget (OMB) for review and approval.

DATES: Comments regarding this information collection are best assured of having their full effect if received within 60 days of the date of this publication.

FOR FURTHER INFORMATION CONTACT: To obtain a copy of the data collection plans and instruments, submit comments in writing, or request more information on the proposed project, contact: Ms. Tawanda Abdelmouti, Assistant Project Officer, Office of Policy for Extramural Research Administration, 6705 Rockledge Drive, Suite 350, Bethesda, Maryland, 20892 or call non-toll-free number (301) 435-0978 or E-mail your request, including your address to: abdelmot@mail.nih.gov. Formal requests for additional plans and instruments must be requested in writing.

SUPPLEMENTARY INFORMATION: Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995 requires: written comments and/or suggestions from the public and affected agencies are invited to address one or more of the following points: (1)
Whether the proposed collection of information is necessary for the proper performance of the function of the agency, including whether the information will have practical utility; (2) The accuracy of the agency’s estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) Ways to enhance the quality, utility, and clarity of the information to be collected; and (4) Ways to minimize the burden of the collection of information on those who are to respond, including the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

**Proposed Collection Title:** Generic Clearance for the Collection of Qualitative Feedback on Agency Service Delivery, 0925-EXTENSION, exp., date 5/31/2021, National Institutes of Health (NIH).

**Need and Use of Information Collection:** We are not requesting changes for this submission. The proposed information collection provides a means to garner qualitative customer and stakeholder feedback in an efficient, timely manner, in accordance with the Administration’s commitment to improving service delivery. By qualitative feedback we mean information that provides useful insights on perceptions and opinions. This information, however, is not statistical surveys that yield quantitative results, which can be generalized to the population of study. This feedback will provide information about the NIH’s customer or stakeholder perceptions, experiences, and expectations, provide an early warning of issues with service, or focus attention on areas where communication, training, or changes in operations might improve delivery of products or services. These collections will allow for ongoing, collaborative, and actionable communications between the NIH and its customers and stakeholders. It will also allow feedback to contribute directly to the improvement of program management.

The solicitation of feedback will target areas such as: timeliness, appropriateness, accuracy of information, courtesy, efficiency of service delivery, and resolution of issues.
with service delivery. Responses will be assessed to plan and inform efforts to improve or maintain the quality of service offered to the public. If this information is not collected, vital feedback from customers and stakeholders on the NIH’s services will be unavailable.

The NIH will only submit a collection for approval under this generic clearance if it meets the following:

- The collections are voluntary;
- The collections are low-burden for respondents (based on considerations of total burden hours, total number of respondents, or burden-hours per respondent) and are low-cost for both the respondents and the Federal Government;
- The collections are non-controversial and do not raise issues of concern to other Federal agencies;
- Any collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the near future;
- Personally Identifiable information (PII) is collected only to the extent necessary and is not retained;
- Information gathered will be used only internally for general service improvement and program management purposes and is not intended for release outside of the agency;
- Information gathered will not be used for the purpose of substantially informing influential policy decisions; and
- Information gathered will yield qualitative information; the collections will not be designed or expected to yield statistically reliable results or used as though the results are generalizable to the population of study.

Feedback collected under this generic clearance provides useful information, but
it does not yield data that can be generalized to the overall population. This type of
generic clearance for qualitative information will not be used for quantitative
information collections that are designed to yield reliably actionable results, such as
monitoring trends over time or documenting program performance. Such data uses
require more rigorous designs that address: The target population to which
generalizations will be made, the sampling frame, the sample design (including
stratification and clustering), the precision requirements or power calculations that
justify the proposed sample size, the expected response rate, methods for assessing
potential non-response bias, the protocols for data collection, and any testing
procedures that were or will be undertaken prior to fielding the study. Depending on
the degree of influence the results are likely to have, such collections may still be
eligible for submission for other generic mechanisms that are designed to yield
quantitative results. As a general matter, information collections will not result in any
new system of records containing privacy information and will not ask questions of a
sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other
matters that are commonly considered private.

OMB approval is requested for 3 years. There are no costs to respondents other
than their time. The total estimated annualized burden hours are 49,333.

Estimated Annualized Burden Hours

<table>
<thead>
<tr>
<th>Type of Collection</th>
<th>Number of Respondents</th>
<th>Number of Responses per Respondent</th>
<th>Average Time Per Response (in hours)</th>
<th>Total Annual Burden Hour</th>
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<tbody>
<tr>
<td>Customer Satisfaction Surveys</td>
<td>1,000</td>
<td>1</td>
<td>30/60</td>
<td>500</td>
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<tr>
<td>In-Depth Interviews (IDIs) or Small Discussion Groups</td>
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<td>1</td>
<td>90/60</td>
<td>1,500</td>
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<td>Focus Groups</td>
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<td>90/60</td>
<td>1,500</td>
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<tr>
<td>Usability and Pilot Testing</td>
<td>150,000</td>
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<td>5/60</td>
<td>12,500</td>
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<td>Conference/Training – Pre-and Post-Surveys</td>
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<td>2</td>
<td>10/60</td>
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<td>TOTAL</td>
<td>253,000</td>
<td>353,000</td>
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</table>

Lawrence A. Tabak,
Principal Deputy Director,
National Institutes of Health.

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