



## DEPARTMENT OF HOMELAND SECURITY

### U.S. Customs and Border Protection

[1651-0098]

#### Agency Information Collection Activities:

#### NAFTA regulations and Certificate of Origin

**AGENCY:** U.S. Customs and Border Protection (CBP), Department of Homeland Security.

**ACTION:** 30-Day Notice and request for comments; Extension of an existing collection of information.

**SUMMARY:** The Department of Homeland Security, U.S. Customs and Border Protection will be submitting the following information collection request to the Office of Management and Budget (OMB) for review and approval in accordance with the Paperwork Reduction Act of 1995 (PRA). The information collection is published in the *Federal Register* to obtain comments from the public and affected agencies. Comments are encouraged and must be submitted (no later than [INSERT DATE 30 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER]) to be assured of consideration.

**ADDRESSES:** Interested persons are invited to submit written comments on this proposed information collection to the Office of Information and Regulatory Affairs, Office of Management and Budget. Comments should be addressed to the OMB Desk Officer for Customs and Border Protection, Department of Homeland Security, and sent via electronic mail to [dhsdeskofficer@omb.eop.gov](mailto:dhsdeskofficer@omb.eop.gov).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional PRA information

should be directed to Seth Renkema, Chief, Economic Impact Analysis Branch, U.S. Customs and Border Protection, Office of Trade, Regulations and Rulings, 90 K Street NE, 10<sup>th</sup> Floor, Washington, D.C. 20229-1177, Telephone number 202-325-0056 or via email [CBP\\_PRA@cbp.dhs.gov](mailto:CBP_PRA@cbp.dhs.gov). Please note that the contact information provided here is solely for questions regarding this notice. Individuals seeking information about other CBP programs should contact the CBP National Customer Service Center at 877-227-5511, (TTY) 1-800-877-8339, or CBP website at <https://www.cbp.gov/>.

**SUPPLEMENTARY INFORMATION:** CBP invites the general public and other Federal agencies to comment on the proposed and/or continuing information collections pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*). This proposed information collection was previously published in the *Federal Register* (84 FR 68180) on December 13, 2019, allowing for a 60-day comment period. This notice allows for an additional 30 days for public comments. This process is conducted in accordance with 5 CFR 1320.8. Written comments and suggestions from the public and affected agencies should address one or more of the following four points: (1) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (2) the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) suggestions to enhance the quality, utility, and clarity of the information to be collected; and (4) suggestions to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, *e.g.*, permitting electronic submission

of responses. The comments that are submitted will be summarized and included in the request for approval. All comments will become a matter of public record.

**Overview of this Information Collection:**

**Title:** NAFTA Regulations and Certificate of Origin

**OMB Number:** 1651-0098

**Form Number:** CBP Forms 434, 446, and 447

**Abstract:** On December 17, 1992, the U.S., Mexico and Canada entered into an agreement, "the North American Free Trade Agreement" (NAFTA). The provisions of NAFTA were adopted by the U.S. with the enactment of the North American Free Trade Agreement Implementation Act. Pub. L. No. 103-182, 107 Stat. 2057 (1993).

CBP Form 434, *North American Free Trade Agreement Certificate of Origin*, is used to certify that a good being exported either from the United States into Canada or Mexico or from Canada or Mexico into the United States qualifies as an originating good for purposes of preferential tariff treatment under NAFTA. This form is completed by exporters and/or producers and furnished to CBP upon request. CBP Form 434 is provided for by 19 CFR 181.11, 181.22 and is accessible at:

<https://www.cbp.gov/newsroom/publications/forms>.

CBP Form 446, *NAFTA Verification of Origin Questionnaire*, is a questionnaire that CBP personnel use to gather sufficient information from exporters and/or producers to determine whether goods imported into the United States qualify as originating goods for the purposes of preferential tariff treatment under NAFTA as stated on the Certificate of Origin pertaining to the good. CBP Form 446 is provided for by 19 CFR 181.72 and is accessible at: <https://www.cbp.gov/newsroom/publications/forms>.

CBP Form 447, *North American Free Trade Agreement Motor Vehicle Averaging Election*, is used to gather information required by 19 CFR 181 Appendix § 11(2). This form is provided to CBP when a manufacturer chooses to average motor vehicles for the purpose of obtaining NAFTA preference. CBP Form 447 is accessible at:

<https://www.cbp.gov/newsroom/publications/forms>.

**Current Actions:** This submission is being made to extend the expiration dates for CBP Forms 434, 446, and 447 with no change to the estimated burden hours or to the information collected.

**Type of Review:** Extension (without change)

**Affected Public:** Businesses

**Form 434, NAFTA Certificate of Origin:**

**Estimated Number of Respondents:** 40,000

**Estimated Number of Responses per Respondent:** 3

**Estimated Total Number of Responses:** 120,000

**Estimated Time per Response:** 2 hours

**Estimated Total Annual Burden Hours:** 240,000

**Form 446, NAFTA Questionnaire:**

**Estimated Number of Respondents:** 400

**Estimated Number of Responses per Respondent:** 1

**Estimated Total Number of Responses:** 400

**Estimated Time per Response:** 2 hours

**Estimated Total Annual Burden Hours:** 800

**Form 447, NAFTA Motor Vehicle Averaging Election:**

**Estimated Number of Respondents: 11**

**Estimated Number of Responses per Respondent: 1.28**

**Estimated Time per Response: 1 hour**

**Estimated Total Annual Burden Hours: 14**

Dated: April 6, 2020.

Seth D. Renkema,  
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U.S. Customs and Border Protection.

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