



[7590-01-P]

NUCLEAR REGULATORY COMMISSION

[NRC-2020-0041]

Survey of NRC's Materials Licensees

AGENCY: Nuclear Regulatory Commission.

ACTION: Information Collection; Licensee Survey.

SUMMARY: The U.S. Nuclear Regulatory Commission (NRC) is conducting a survey of its materials licensees to gather data to inform its decision on a future rulemaking action. The NRC established a nuclear-industry-specific size standard for categorizing the size of small business entities. The responses to this survey will provide the information necessary for the NRC to reassess, and potentially revise, its small entity size standards.

DATES: Submit your response to the survey by **April 30, 2020**. Survey responses received after this date will be used if it is practical to do so, but the NRC is able to ensure use only for responses received on or before this date.

ADDRESSES: You may submit a response to the survey by any of the following methods:

- *Complete the Survey electronically through the internet:* This Survey can be accessed, and responses entered, on the NRC public web site at www.NRC.gov.

At the bottom of the first screen under the section titled, ABOUT US, click on LICENSE FEES. Next screen, click in the box titled RELATED INFORMATION, click on the item Small Entity Classification Survey. Proceed to complete the survey.

- *Mail completed Survey response:* Responses can be submitted through the regular U.S. mail. Licensees will be mailed a paper Survey with an NRC-addressed, business reply return envelop included. All U.S. mail replies should be addressed to: U.S. Nuclear Regulatory Commission, LFPT/OCFO Mail Stop: T-9B50, 11555 Rockville Pike, Rockville, MD 20852-2738.

FOR FURTHER INFORMATION CONTACT: Anthony Rossi, Office of the Chief Financial Officer, telephone: 301-415-7341; email: Anthony.Rossi@nrc.gov; or, Jo Jacobs, Office of the Chief Financial Officer, telephone: 301-415-8388; email: Jo.Jacobs@nrc.gov. Both are staff of the U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001.

I. Discussion

The NRC has established its own standards for categorizing the size of small business entities in section 2.810 of title 10 of the *Code of Federal Regulations (CFR)*, “NRC Size Standards.” The agency’s standards differ from those of the Small Business Administration (SBA) because NRC licensees do not align with SBA size standard classifications. The NRC has established its standards in consultation with the administrator of SBA in accordance with their regulations in 13 CFR 121.903.

The Omnibus Budget and Reconciliation Act of 1990 (OBRA-90), as amended, requires the NRC to recover 90 percent of the annual budget through fees. Since the

agency has not surveyed its materials licensees since 1993, the NRC is conducting this survey to gather financial data to determine if a change is needed to the size standards. Without conducting a survey, the NRC does not have the data needed to determine the impact of changing the current nuclear industry-specific size standards. The results of the analysis will be used to provide a recommendation to the Commission that is backed with sound factual data.

II. Additional Information

Licensees may complete the survey online or submit in hard copy by U.S. mail in accordance with the instructions in the ADDRESSES section of this document. For questions regarding the survey, contact staff listed in the FOR FURTHER INFORMATION CONTACT section of this document.

III. Plain Writing

The Plain Writing Act of 2010 (Pub. L. 111-274) requires Federal agencies to write documents in a clear, concise, and well-organized manner. The NRC has written this document to be consistent with the Plain Writing Act as well as the Presidential Memorandum, "Plain Language in Government Writing," published June 10, 1998 (63 FR 31883). The NRC requests comment on this document with respect to the clarity and effectiveness of the language used.

IV. Paperwork Reduction Act

This survey is an information collection that has been approved by the Office of Management and Budget under OMB Control No. 3150-0242. The estimated burden

to respond to this voluntary information collection is 20 minutes. This collection is a voluntary effort to gather financial data to determine if a change is needed for 10 CFR 2.810, "NRC Size Standards." Send comments regarding the burden estimate to the Information Services Branch, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by e-mail to infocollects.resource@nrc.gov, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0242), Office of Management and Budget, Washington, DC 20503.

Public Protection Notification

The NRC may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the document requesting or requiring the collection displays a currently valid OMB control number.

Dated at Rockville, Maryland, this 30th day of January, 2020.

For the Nuclear Regulatory Commission.

L. Benedict Ficks, Acting
Chief Financial Officer.

The Survey is attached.

**Survey of the Nuclear Regulatory Commission Materials Licensees To
Measure The Impact of Changing the NRC Small Entity Size
Standards [OMB NO. 3150-0242; Expires 11/30/2022]**

NOTE: THE QUESTIONNAIRE SHOULD BE COMPLETED BY NRC LICENSEES.

INSTRUCTIONS:

Please answer the questions that apply to your situation as accurately as possible. Licensees operating independently (e.g. not as a subsidiary, division or part of a group medical practice) should, except when a question indicates otherwise, answer the questions as they apply to the location(s) listed in the license, including any temporary job sites supervised from this location.

1. Please provide the following information:

Name of the Licensee (as it appears on the license): _____

Company Name: _____

Street Address: _____

City: _____ State: _____

Zip: _____

License Number (as it appears on your mailing label):

Docket Number (as it appears on your invoice):

2. If the organization listed above is a subsidiary or division of another company, please provide the following information for the parent company:

Company Name: _____ Street Address: _____
Country (if non-US address) _____

3. Is the license held by a governmental entity that is non-federal?

- Yes (PLEASE CONTINUE WITH Q4)
- No (PLEASE SKIP TO Q5)

4. What is the size of the supporting population in the licensee's jurisdiction? (e.g., for state supported schools the supporting population is the state's population; for a county it is the county's population; for a city it is the population residing in the city limits) (Please place an "X" by the appropriate range.)

- Less than 20,000
- 20,001 – 50,000
- 50,001 and higher

*****GOVERNMENTAL LICENSEES SHOULD SKIP QUESTIONS #5 AND #6*****

5. What was the average number of persons employed by your (the licensee's) organization during your previous fiscal year? Any person on the payroll must be included as one employee regardless of the number of hours worked or temporary status. Licensees operating as a subsidiary of a larger company or a group medical practice should provide employment figures for the larger organization's entire operations, including the parent company and all other affiliates. Affiliation with another business concern is based on the power to

control, whether exercised or not. Such factors as common ownership, common management and identity of interest, among others, are indicators of affiliation. The affiliated business concerns need not be in the same line of business listed in the NRC license.

Please place an "X" by the range which corresponds to the average number of employees over the 12 months of the organization's 2019 fiscal year.

- 1 – 34
- 35 – 500
- 501 – 750
- 751 and up

6. What were your (the licensee's) total average annual receipts for the last three years?

For purposes of this question, receipts include all revenue, in whatever form received or accrued from any sources (e.g. sales, interest income, income from dividends, etc.), and not solely receipts from licensed activities. Receipts should exclude returns and allowances, sales tax collected and remitted to a governmental sales tax authority, and sales of capital assets (such as selling the firm's building or its production machinery). Please do not deduct income taxes, property taxes and cost of materials or funds paid to subcontractors. Licensees operating as a subsidiary of a larger company or a group medical practice should provide receipt information for the larger organization's entire operations, including the parent company and all other affiliates. Affiliation with another business concern is based on the power to control, whether exercised

or not. Such factors as common ownership, common management and identity of interest, among others, are indicators of affiliation. The affiliated business concerns need not be in the same line of business listed in the NRC license.

Please place an "X" next to the appropriate range which corresponds to the organization's annual receipts for the 2019 fiscal year.

- | | |
|---|--|
| <input type="checkbox"/> Less than \$485,000 | <input type="checkbox"/> \$15,000,001 – 27,500,000 |
| <input type="checkbox"/> \$485,000 – 7,000,000 | <input type="checkbox"/> \$27,500,001 – 38,500,000 |
| <input type="checkbox"/> \$700,000,001 – 15,000,000 | <input type="checkbox"/> \$38,500,001 – and up |

7. Do you know your organization's primary 6-digit NAICS Code(s)?
- Yes – IF YES, PLEASE ANSWER QUESTION 8.
 - No – IF NO, PLEASE SKIP QUESTION 8 AND ANSWER QUESTION 9.
8. Please provide your organization's primary 6-digit North American Industry Classification System (NAICS) business Code(s). Note: Some organizations have more than one NAICS Code. If your organization has several NAICS codes, please provide only the top of the Column. (The most predominant NAICS code will be the one with the highest production value associated with it.)

Licensees operating as a subsidiary or division of a larger company should provide NAICS codes for the parent company.

NAICS Codes

1. _____

2. _____

3. _____

4. _____

5. _____

*****ALL RESPONDENTS SHOULD ANSWER THE FOLLOWING QUESTION*****

9. Which of the following best describes your organization?

Construction

Government

Medical/Dental

Manufacturing

Other (Please Explain: _____)

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