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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Medicare & Medicaid Services

[CMS-2414-N]

RIN 0938-ZB48

Medicaid Program; Final FY 2016 and Preliminary FY 2018 Disproportionate Share Hospital Allotments, and Final FY 2016 and Preliminary FY 2018 Institutions for Mental Diseases Disproportionate Share Hospital Limits

AGENCY: Centers for Medicare & Medicaid Services (CMS), HHS.

ACTION: Notice.

SUMMARY: This notice announces the final federal share disproportionate share hospital (DSH) allotments for federal fiscal year (FY) 2016 and the preliminary federal share DSH allotments for FY 2018. This notice also announces the final FY 2016 and the preliminary FY 2018 limitations on aggregate DSH payments that states may make to institutions for mental disease and other mental health facilities. In addition, this notice includes background information describing the methodology for determining the amounts of states' FY DSH allotments.

DATES: This notice is applicable **[INSERT DATE 30 DAYS AFTER THE DATE OF PUBLICATION IN THE FEDERAL REGISTER]**. The final allotments and limitations set forth in this notice are applicable for the fiscal years specified.

FOR FURTHER INFORMATION CONTACT:

Stuart Goldstein, (410) 786-0694 and Richard Cuno, (410) 786-1111.

SUPPLEMENTARY INFORMATION:

I. Background

A. Fiscal Year DSH Allotments

A state's federal fiscal year (FY) disproportionate share hospital (DSH) allotment represents the aggregate limit on the federal share amount of the state's DSH payments to DSH hospitals in the state for the FY. The amount of such allotment is determined in accordance with the provisions of section 1923(f)(3) of the Social Security Act (the Act), with some state-specific exceptions as specified in section 1923(f) of the Act. Under such provisions, in general a state's FY DSH allotment is calculated by increasing the amount of its DSH allotment for the preceding FY by the percentage change in the Consumer Price Index for all Urban Consumers (CPI-U) for the previous FY.

The Patient Protection and Affordable Care Act of 2010 (Pub. L. 111-148), as amended by the Health Care and Education Reconciliation Act of 2010 (Pub. L. 111-152) (collectively, the Affordable Care Act), amended Medicaid DSH provisions, adding section 1923(f)(7) of the Act. Section 1923(f)(7) of the Act would have required reductions to states' FY DSH allotments from FY 2014 through FY 2020, the calculation of which was described in the Disproportionate Share Hospital Payment Reduction final rule published in the September 18, 2013 **Federal Register** (78 FR 57293). Subsequent legislation, most recently by the Bipartisan Budget Act of 2018 (Pub. L. 115-123, enacted February 9, 2018), delayed the start of these reductions until FY 2020. A proposed rule delineating a revised methodology for the calculation of DSH allotment reductions previously scheduled to begin in FY 2018 was published in the July 28, 2017 **Federal Register** (82 FR 35155).

Because there are no reductions to DSH allotments for FY 2016 and FY 2018 under section 1923(f)(7) of the Act, as amended, this notice contains only the state-specific final FY 2016 DSH allotments and preliminary FY 2018 DSH allotments, as calculated under the statute

without application of the reductions that would have been imposed under the Affordable Care Act provisions beginning with FY 2014. This notice also provides information on the calculation of such FY DSH allotments, the calculation of the states' institutions for mental diseases (IMDs) DSH limits, and the amounts of states' final FY 2016 IMD DSH limits and preliminary FY 2018 IMD DSH limits.

B. Determination of Fiscal Year DSH Allotments

Generally, in accordance with the methodology specified under section 1923(f)(3) of the Act, a state's FY DSH allotment is calculated by increasing the amount of its DSH allotment for the preceding FY by the percentage change in the CPI-U for the previous FY. Also in accordance with section 1923(f)(3) of the Act, a state's DSH allotment for a FY is subject to the limitation that an increase to a state's DSH allotment for a FY cannot result in the DSH allotment exceeding the greater of the state's DSH allotment for the previous FY or 12 percent of the state's total medical assistance expenditures for the allotment year (this is referred to as the 12 percent limit).

Furthermore, under section 1923(h) of the Act, federal financial participation (FFP) for DSH payments to IMDs and other mental health facilities is limited to state-specific aggregate amounts. Under this provision, the aggregate limit for DSH payments to IMDs and other mental health facilities is the lesser of a state's FY 1995 total computable (state and federal share) IMD and other mental health facility DSH expenditures applicable to the state's FY 1995 DSH allotment (as reported on the Form CMS-64 as of January 1, 1997), or the amount equal to the product of the state's current year total computable DSH allotment and the applicable percentage specified in section 1923(h) of the Act.

In general, we determine states' DSH allotments for a FY and the IMD DSH limits for the same FY using the most recent available estimates of or actual medical assistance expenditures, including DSH expenditures in their Medicaid programs and the most recent available change in the CPI-U used for the FY in accordance with the methodology prescribed in the statute. The indicated estimated or actual expenditures are obtained from states for each relevant FY from the most recent available quarterly Medicaid budget reports (Form CMS-37) or quarterly Medicaid expenditure reports (Form CMS-64), respectively, submitted by the states. For example, as part of the initial determination of a state's FY DSH allotment (referred to as the preliminary DSH allotments) that is determined before the beginning of the FY for which the DSH allotments and IMD DSH limits are being determined, we use estimated expenditures for the FY obtained from the August submission of the CMS-37 submitted by states prior to the beginning of the FY; such estimated expenditures are subject to update and revision during the FY before such actual expenditure data become available. We also use the most recent available estimated CPI-U percentage change that is available before the beginning of the FY for determining the states' preliminary FY DSH allotments; such estimated CPI-U percentage change is subject to update and revision during the FY before the actual CPI-U percentage change becomes available. In determining the final DSH allotments and IMD DSH limits for a FY we use the actual expenditures for the FY and actual CPI-U percentage change for the previous FY.

II. Provisions of the Notice

A. Calculation of the Final FY 2016 Federal Share State DSH Allotments, and the Preliminary FY 2018 Federal Share State DSH Allotments

1. Final FY 2016 Federal Share State DSH Allotments

Addendum 1 to this notice provides the states' final FY 2016 DSH allotments determined in accordance with section 1923(f)(3) of the Act. As described in the background section, in general, the DSH allotment for a FY is calculated by increasing the FY DSH allotment for the preceding FY by the CPI-U increase for the previous fiscal year. For purposes of calculating the states' final FY 2016 DSH allotments, the preceding final fiscal year DSH allotments (for FY 2015) were published in the November 3, 2017 **Federal Register** (82 FR 51259). For purposes of calculating the states' final FY 2016 DSH allotments we are using the actual Medicaid expenditures for FY 2016. Finally, for purposes of calculating the states' final FY 2016 DSH allotments, the applicable historical percentage change in the CPI-U for the previous FY (FY 2015) was 0.3 percent; we note that this is the same as the estimated 0.3 percentage change in the CPI-U for FY 2015 that was available and used in the calculation of the preliminary FY 2016 DSH allotments which were published in the October 26, 2016 **Federal Register** (81 FR 74432).

2. Calculation of the Preliminary FY 2018 Federal Share State DSH Allotments

Addendum 2 to this notice provides the preliminary FY 2018 DSH allotments determined in accordance with section 1923(f)(3) of the Act. The preliminary FY 2018 DSH allotments contained in this notice were determined based on the most recent available estimates from states of their FY 2018 total computable Medicaid expenditures. Also, the preliminary FY 2018 allotments contained in this notice were determined by increasing the preliminary FY 2017 DSH allotments. The estimated percentage increase in the CPI-U for FY 2017 was 2.4 percent (CMS originally published the preliminary FY 2017 DSH allotments in the November 3, 2017 **Federal Register** (82 FR 51259)).

We will publish states' final FY 2018 DSH allotments in a future notice based on the states' four quarterly Medicaid expenditure reports (Form CMS-64) for FY 2018 available

following the end of FY 2018 utilizing the actual change in the CPI-U for FY 2017.

B. Calculation of the Final FY 2016 and Preliminary FY 2018 IMD DSH Limits

Section 1923(h) of the Act specifies the methodology to be used to establish the limits on the amount of DSH payments that a state can make to IMDs and other mental health facilities. FFP is not available for DSH payments to IMDs or other mental health facilities that exceed the IMD DSH limits. In this notice, we are publishing the final FY 2016 and the preliminary FY 2018 IMD DSH limits determined in accordance with the provisions discussed above.

Addendums 3 and 4 to this notice detail each state's final FY 2016 and preliminary FY 2018 IMD DSH limit, respectively, determined in accordance with section 1923(h) of the Act.

III. Collection of Information Requirements

As it relates to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.), this notice does not impose any new or revised collection of information requirements or burden. While discussed in section I.B. and in Addendums 3 and 4 of this notice, the requirements and burden associated with Form CMS-37 and Form CMS-64 are unaffected by this notice. Both forms are approved by the Office of Management and Budget (OMB) under control number 0938-1265. Since this notice will not impose any new or revised requirements/burden, we are not making any changes under that control number.

IV. Regulatory Impact Analysis

We have examined the impact of this notice as required by Executive Order 12866 on Regulatory Planning and Review (September 1993), the Regulatory Flexibility Act (RFA) (September 19, 1980, Pub. L. 96-354), section 1102(b) of the Act, section 202 of the Unfunded Mandates Reform Act of 1995 (Pub. L. 104-4; enacted on March 22, 1995) (UMRA '95), Executive Order 13132 on Federalism (August 4, 1999) and the Congressional Review Act (5

U.S.C. 804(2)), and Executive Order 13771 on Reducing Regulation and Controlling Regulatory Costs (January 30, 2017).

Executive Order 12866 directs agencies to assess all costs and benefits of available regulatory alternatives and, if regulation is necessary, to select regulatory approaches that maximize net benefits (including potential economic, environmental, public health and safety effects, distributive impacts, and equity). A regulatory impact analysis (RIA) must be prepared for major rules with economically significant effects (\$100 million or more in any 1 year). This notice reaches the \$100 million economic threshold and thus is considered a major rule under the Congressional Review Act.

The final FY 2016 DSH allotments being published in this notice are approximately \$11 million more than the preliminary FY 2016 DSH allotments published in the October 26, 2016 **Federal Register** (81 FR 74432). The increase in the final FY 2016 DSH allotments is a result of being calculated by multiplying the actual increase in the CPI-U for 2015 by the final FY 2015 DSH allotments, while the preliminary FY 2016 DSH allotments were calculated by multiplying the estimated CPI-U for 2015 by the preliminary FY 2015 DSH allotments. Although the estimated and actual increase in the CPI-U remained the same at 0.3 percent, the preliminary FY 2015 DSH allotments were lower than the final FY 2015 DSH allotments and therefore the final FY 2016 DSH allotments are higher than the preliminary FY 2016 DSH allotments. The final FY 2016 IMD DSH limits being published in this notice are approximately \$14 million more than the preliminary FY 2016 IMD DSH limits published in the October 26, 2016 **Federal Register** (81 FR 74432). The increases in the IMD DSH limits are because the DSH allotment for a FY is a factor in the determination of the IMD DSH limit for the FY. Since the final FY 2016 DSH allotments were increased as compared to the preliminary FY 2016 DSH

allotments, the associated FY 2016 IMD DSH limits for some states were also increased.

The preliminary FY 2018 DSH allotments being published in this notice have been increased by approximately \$288 million more than the preliminary FY 2017 DSH allotments published in the November 3, 2017 **Federal Register** (82 FR 51259). The increase in the DSH allotments is due to the application of the statutory formula for calculating DSH allotments under which the prior fiscal year allotments are increased by the percentage increase in the CPI-U for the prior fiscal year. The preliminary FY 2018 IMD DSH limits being published in this notice are approximately \$24 million more than the preliminary FY 2017 IMD DSH limits published in the November 3, 2017 **Federal Register** (82 FR 51259). The increases in the IMD DSH limits are because the DSH allotment for a FY is a factor in the determination of the IMD DSH limit for the FY. Since the preliminary FY 2018 DSH allotments are greater than the preliminary FY 2017 DSH allotments, the associated preliminary FY 2018 IMD DSH limits for some states also increased.

The RFA requires agencies to analyze options for regulatory relief of small businesses, if a rule has a significant impact on a substantial number of small entities. For purposes of the RFA, small entities include small businesses, nonprofit organizations, and small governmental jurisdictions. Most hospitals and most other providers and suppliers are small entities, either by nonprofit status or by having revenues of less than \$7.0 million to \$34.5 million in any one year. Individuals and states are not included in the definition of a small entity. We are not preparing an analysis for the RFA because the Secretary has determined that this notice will not have significant economic impact on a substantial number of small entities. Specifically, any impact on providers is due to the effect of the various controlling statutes; providers are not impacted as a result of the independent regulatory action in publishing this notice. The purpose of the notice

is to announce the latest DSH allotments and IMD DSH limits, as required by the statute.

In addition, section 1102(b) of the Act requires us to prepare a regulatory impact analysis if a rule may have a significant impact on the operations of a substantial number of small rural hospitals. This analysis must conform to the provisions of section 604 of the RFA. For purposes of section 1102(b) of the Act, we define a small rural hospital as a hospital that is located outside of a Core-Based Statistical Area for Medicaid payment regulations and has fewer than 100 beds. We are not preparing analysis for section 1102(b) of the Act because the Secretary has determined that this notice will not have a significant impact on the operations of a substantial number of small rural hospitals.

The Medicaid statute specifies the methodology for determining the amounts of states' DSH allotments and IMD DSH limits; and as described previously, the application of the methodology specified in statute results in the decreases or increases in states' DSH allotments and IMD DSH limits for the applicable FYs. The statute applicable to these allotments and limits does not apply to the determination of the amounts of DSH payments made to specific DSH hospitals; rather, these allotments and limits represent an overall limit on the total of such DSH payments. For this reason, we do not believe that this notice will have a significant economic impact on a substantial number of small entities.

Section 202 of the Unfunded Mandates Reform Act of 1995 also requires that agencies assess anticipated costs and benefits before issuing any rule whose mandates require spending in any 1 year of \$100 million in 1995 dollars, updated annually for inflation. In 2018, that threshold is approximately \$150 million. This notice will have no consequential effect on spending by state, local, or tribal governments, in the aggregate, or on the private sector.

Executive Order 13132 establishes certain requirements that an agency must meet when it

promulgates a proposed rule (and subsequent final rule) that imposes substantial direct requirement costs on state and local governments, preempts state law, or otherwise has Federalism implications. Since this notice does not impose any costs on state or local governments or otherwise have Federalism implications, the requirements of E.O. 13132 are not applicable.

Executive Order 13771, titled “Reducing Regulation and Controlling Regulatory Costs,” was issued on January 30, 2017. It has been determined that this notice is a transfer rule and is not a regulatory action for the purposes of Executive Order 13771.

A. Alternatives Considered

The methodologies for determining the states’ fiscal year DSH allotments and IMD DSH limits, as reflected in this notice, were established in accordance with the methodologies and formula for determining states’ allotments and limits as specified in statute. This notice does not put forward any further discretionary administrative policies for determining such allotments and limits, or otherwise.

B. Accounting Statement

As required by OMB Circular A-4 (available at <http://www.whitehouse.gov/omb/circulars/a004/a-4.pdf>), in Table 1, we have prepared an accounting statement showing the classification of the estimated expenditures associated with the provisions of this notice. Table 1 provides our best estimate of the change (decrease) in the federal share of states’ Medicaid DSH payments resulting from the application of the provisions of the Medicaid statute relating to the calculation of states’ FY DSH allotments and the increase in the FY DSH allotments from FY 2017 to FY 2018.

TABLE 1: Accounting Statement: Classification of Estimated Expenditures, from the FY 2017 to FY 2018 (in Millions)

Category	TRANSFERS
Annualized Monetized Transfers	\$288
From Whom To Whom?	Federal Government to States

Congressional Review Act

This proposed regulation is subject to the Congressional Review Act provisions of the Small Business Regulatory Enforcement Fairness Act of 1996 (5 U.S.C. 801 et seq.) and has been transmitted to the Congress and the Comptroller General for review.

In accordance with the provisions of Executive Order 12866, this notice was reviewed by the Office of Management and Budget.

Dated: May 30, 2018.

Seema Verma,

Administrator,

Centers for Medicare & Medicaid Services.

Dated June 28, 2018.

Alex M. Azar II,

Secretary,

Department of Health and Human Services.

Key to ADDENDUM 1: Final DSH Allotments for FY 2015.

<p>The Final FY 2016 DSH Allotments for the NON-Low DSH States are presented in the top section of this addendum, and the Final FY 2016 DSH Allotments for the Low-DSH States are presented in the bottom section of this addendum.</p>	
Column	Description
Column A	State.
Column B	FY 2016 FMAPs. This column contains the States’ FY 2016 Federal Medical Assistance Percentages.
Column C	Prior FY (2015) DSH Allotments This column contains the States’ prior FY 2015 DSH Allotments.
Column D	Prior FY (2015) DSH Allotments (Col C) x (100percent + Percentage Increase in CPIU): 100.3 percent. This column contains the amount in Column C increased by 1 plus the percentage increase in the CPI-U for the prior FY (100.3 percent).
Column E	FY 2016 TC MAP Exp. Including DSH. This column contains the amount of the States’ FY 2016 total computable (TC) medical assistance expenditures including DSH expenditures.
Column F	FY 2016 TC DSH Expenditures. This column contains the amount of the States’ FY 2016 total computable DSH expenditures.
Column G	FY 2016 TC MAP Exp. Net of DSH. This column contains the amount of the States’ FY 2016 total computable medical assistance expenditures net of DSH expenditures, calculated as the amount in Column E minus the amount in Column F.
Column H	12 percent Amount. This column contains the amount of the “12 percent limit” in Federal share, determined in accordance with the provisions of section 1923(f)(3) of the Act.

<p>The Final FY 2016 DSH Allotments for the NON-Low DSH States are presented in the top section of this addendum, and the Final FY 2016 DSH Allotments for the Low-DSH States are presented in the bottom section of this addendum.</p>	
Column	Description
Column I	<p>Greater of FY 2015 Allotment or 12 percent Limit. This column contains the greater of the State’s prior FY (FY 2015) DSH allotment or the amount of the 12 percent Limit, determined as the maximum of the amount in Column C or Column H</p>
Column J	<p>FY 2016 DSH Allotment. This column contains the States’ final FY 2016 DSH allotments, determined as the minimum of the amount in Column I or Column D. For states with "na" in Columns I or D, refer to the footnotes in the addendum.</p>

ADDENDUM 1: FINAL DSH ALLOTMENTS FOR FISCAL YEAR: 2016

STATE	FY 2016 FMAPs (percent)	Prior FY (2015) DSH allotments	Prior FY (2015) DSH allotment (Col C) x 100% + Pct Increase in CPIU:	FY 2016 TC MAP Exp. including DSH	FY 2016 TC DSH expenditures	FY 2016 TC MAP EXP. net Of DSH Col E - F	"12% Amount" = Col G x .12/(1-.12/Col B)* (In FS)	Greater of Col H Or Col C (12% Limit, 2015 allotment)	FY 2016 DSH allotment MIN Col I, Col D
			100.3%						
ALABAMA	69.87	\$333,514,963	\$334,515,508	\$5,435,036,771	\$478,107,126	\$4,956,929,645	\$718,176,619	\$718,176,619	\$334,515,508
ARIZONA	68.92	109,815,903	110,145,351	11,118,985,133	189,441,573	10,929,543,560	1,588,048,086	1,588,048,086	110,145,351
CALIFORNIA	50.00	1,188,994,401	1,192,561,384	83,175,819,924	2,294,310,296	80,881,509,628	12,770,764,678	12,770,764,678	1,192,561,384
COLORADO	50.72	100,325,639	100,626,616	7,885,768,808	191,712,503	7,694,056,305	1,209,429,346	1,209,429,346	100,626,616
CONNECTICUT	50.00	216,920,301	217,571,062	7,344,137,284	199,756,136	7,144,381,148	1,128,060,181	1,128,060,181	217,571,062
DISTRICT OF COLUMBIA	70.00	66,431,842	66,631,138	2,761,584,285	39,648,028	2,721,936,257	394,211,458	394,211,458	66,631,138
FLORIDA	60.67	216,920,301	217,571,062	21,689,957,388	357,672,706	21,332,284,682	3,191,032,780	3,191,032,780	217,571,062
GEORGIA	67.55	291,486,655	292,361,115	9,723,814,007	432,380,982	9,291,433,025	1,355,829,993	1,355,829,993	292,361,115
ILLINOIS	50.89	233,189,324	233,888,892	19,178,940,763	470,855,203	18,708,085,560	2,937,684,158	2,937,684,158	233,888,892
INDIANA	66.60	231,833,573	232,529,074	10,371,904,061	351,344,249	10,020,559,812	1,466,745,678	1,466,745,678	232,529,074
KANSAS	55.96	44,739,812	44,874,031	3,252,725,194	66,439,556	3,186,285,638	486,727,600	486,727,600	44,874,031
KENTUCKY	70.32	157,267,219	157,739,021	9,609,364,927	226,104,508	9,383,260,419	1,357,676,693	1,357,676,693	157,739,021
LOUISIANA	62.21	743,671,360	745,902,374	8,536,666,882	1,283,724,677	7,252,942,205	1,078,364,154	1,078,364,154	745,902,374
MAINE	62.67	113,883,158	114,224,807	2,490,164,925	42,332,641	2,447,832,284	363,305,268	363,305,268	114,224,807
MARYLAND	50.00	82,700,865	82,948,968	10,398,319,397	119,001,246	10,279,318,151	1,623,050,234	1,623,050,234	82,948,968
MASSACHUSETTS	50.00	330,803,459	331,795,869	16,990,908,511	0	16,990,908,511	2,682,775,028	2,682,775,028	331,795,869
MICHIGAN	65.60	287,419,400	288,281,658	16,714,754,874	415,106,163	16,299,648,711	2,393,858,855	2,393,858,855	288,281,658
MISSISSIPPI	74.17	165,401,730	165,897,935	5,397,714,759	223,355,122	5,174,359,637	740,773,211	740,773,211	165,897,935
MISSOURI	63.28	513,829,963	515,371,453	9,811,515,212	661,694,759	9,149,820,453	1,354,915,690	1,354,915,690	515,371,453
NEVADA	64.93	50,162,819	50,313,307	3,335,480,165	77,412,264	3,258,067,901	479,606,308	479,606,308	50,313,307
NEW HAMPSHIRE	50.00	173,643,098	174,164,027	1,948,727,991	248,325,661	1,700,402,330	268,484,578	268,484,578	174,164,027
NEW JERSEY	50.00	698,212,220	700,306,857	14,319,021,372	1,083,026,899	13,235,994,473	2,089,893,864	2,089,893,864	700,306,857
NEW YORK	50.00	1,742,141,169	1,747,367,593	60,995,857,591	3,395,485,268	57,600,372,323	9,094,795,630	9,094,795,630	1,747,367,593

STATE	FY 2016 FMAPs (percent)	Prior FY (2015) DSH allotments	Prior FY (2015) DSH allotment (Col C) x 100% + Pct Increase in CPIU:	FY 2016 TC MAP Exp. including DSH	FY 2016 TC DSH expenditures	FY 2016 TC MAP EXP. net Of DSH Col E - F	"12% Amount" = Col G x .12/(1-.12/Col B)* (In FS)	Greater of Col H Or Col C (12% Limit, 2015 allotment)	FY 2016 DSH allotment MIN Col I, Col D
NORTH CAROLINA	66.24	319,957,444	320,917,316	12,157,764,904	459,922,639	11,697,842,265	1,714,303,256	1,714,303,256	320,917,316
OHIO	62.47	440,619,363	441,941,221	21,473,065,804	702,913,525	20,770,152,279	3,085,028,126	3,085,028,126	441,941,221
PENNSYLVANIA	52.01	608,732,595	610,558,793	27,350,279,117	923,597,202	26,426,681,915	4,122,324,598	4,122,324,598	610,558,793
RHODE ISLAND	50.42	70,499,098	70,710,595	2,411,382,026	139,680,713	2,271,701,313	357,748,611	357,748,611	70,710,595
SOUTH CAROLINA	71.08	355,206,993	356,272,614	5,941,185,838	532,052,436	5,409,133,402	780,936,768	780,936,768	356,272,614
TENNESSEE	Na	53,100,000	na	na	na	na	na	na	53,100,000
TEXAS	57.13	1,037,150,191	1,040,261,642	39,563,147,154	2,820,435,388	36,742,711,766	5,581,505,313	5,581,505,313	1,040,261,642
VERMONT	54.45	\$24,403,535	24,476,746	1,679,425,056	37,448,781	1,641,976,275	252,736,702	252,736,702	24,476,746
VIRGINIA	50.00	\$95,019,307	95,304,365	8,498,905,069	177,422,312	8,321,482,757	1,313,918,330	1,313,918,330	95,304,365
WASHINGTON	50.00	\$200,651,279	201,253,233	10,787,810,275	429,732,157	10,358,078,118	1,635,486,019	1,635,486,019	201,253,233
WEST VIRGINIA	71.42	\$73,210,602	73,430,234	3,655,890,862	73,502,498	3,582,388,364	516,703,151	516,703,151	73,430,234
TOTAL	0.00	11,371,859,581	11,352,715,860	476,006,026,329	19,143,945,217	456,862,081,112	70,134,900,964	70,134,900,964	11,405,815,861
LOW DSH STATES									
ALASKA	50.00	22,092,999	22,159,278	1,785,355,973	23,183,505	1,762,172,468	278,237,758.11	278,237,758	22,159,278
ARKANSAS	70.00	46,787,305	46,927,667	5,955,864,929	41,908,658	5,913,956,271	856,504,012	856,504,012	46,927,667
DELAWARE	54.83	9,819,111	9,848,568	1,883,220,982	0	1,883,220,982	289,302,843	289,302,843	9,848,568
HAWAII	53.98	10,570,301	10,602,012	2,156,012,061	8,107,072	2,164,119,133	333,928,015.62	333,928,016	10,602,012
IDAHO	71.24	17,828,139	17,881,623	1,689,500,076	23,288,276	1,666,211,800	240,447,525.93	240,447,526	17,881,623
IOWA	54.91	42,712,842	42,840,981	4,716,461,091	35,224,693	4,681,236,398	718,844,159	718,844,159	42,840,981
MINNESOTA	50.00	81,007,666	81,250,689	10,893,812,759	4,956,777	10,888,855,982	1,719,293,050	1,719,293,050	81,250,689
MONTANA	65.24	12,311,068	12,348,001	1,361,662,906	18,908,411	1,342,754,495	197,448,467	197,448,467	12,348,001
NEBRASKA	51.16	30,692,294	30,784,371	1,968,891,548	39,394,164	1,929,497,384	302,491,582	302,491,582	30,784,371
NEW MEXICO	70.37	22,092,999	22,159,278	5,339,766,195	16,101,218	5,323,664,977	770,175,716	770,175,716	22,159,278

STATE	FY 2016 FMAPs (percent)	Prior FY (2015) DSH allotments	Prior FY (2015) DSH allotment (Col C) x 100% + Pct Increase in CPIU:	FY 2016 TC MAP Exp. including DSH	FY 2016 TC DSH expenditures	FY 2016 TC MAP EXP. net Of DSH Col E - F	"12% Amount" = Col G x .12/(1-.12/Col B)* (In FS)	Greater of Col H Or Col C (12% Limit, 2015 allotment)	FY 2016 DSH allotment MIN Col I, Col D
NORTH DAKOTA	50.00	10,360,093	10,391,173	1,162,904,244	1,385,593	1,161,518,651	183,397,682	183,397,682	10,391,173
OKLAHOMA	60.99	39,276,442	39,394,271	4,460,334,118	44,416,344	4,415,917,774	659,710,533	659,710,533	39,394,271
OREGON	64.38	49,095,555	49,242,842	8,316,707,109	127,373,075	8,189,334,034	1,207,856,415	1,207,856,415	49,242,842
SOUTH DAKOTA	51.61	11,979,041	12,014,978	832,399,125	1,496,978	830,902,147	129,915,253	129,915,253	12,014,978
UTAH	70.24	21,277,695	21,341,528	2,100,346,398	33,093,465	2,067,252,933	299,183,749	299,183,749	21,341,528
WISCONSIN	58.23	102,530,441	102,838,032	7,626,998,105	39,461,949	7,587,536,156	1,146,845,504	1,146,845,504	102,838,032
WYOMING	50.00	245,478	246,214	573,809,794	462,433	573,347,361	90,528,531	90,528,531	246,214
TOTAL LOW DSH STATES	0.00	530,679,469	532,271,507	62,824,047,413	442,548,467	62,381,498,946	9,424,110,794	9,424,110,794	532,271,506
TOTAL	0.00%	11,902,539,050	11,884,987,367	538,830,073,742	19,586,493,684	519,243,580,058	79,559,011,758	79,559,011,758	11,938,087,367

FOOTNOTES:

/1 Tennessee's DSH allotment for FY 2016, determined under section 1923(f)(6)(A) of the Social Security Act, is \$53,100,000.

/2 Per 1905(z)(1)(A) of Act, Vermont's regular FMAP is increased by 2.2 percentage points for the period 1/1/2014 - 12/31/2015.

Key to ADDENDUM 2: Preliminary DSH Allotments for FY 2018.

The Preliminary FY 2018 DSH Allotments for the NON-Low DSH States are presented in the top section of this addendum, and the Preliminary FY 2018 DSH Allotments for the Low-DSH States are presented in the bottom section of this addendum.	
Column	Description
Column A	State.
Column B	FY 2018 FMAPs. This column contains the States’ FY 2018 Federal Medical Assistance Percentages.
Column C	Prior FY (2017) DSH Allotments This column contains the States’ prior preliminary FY 2017 DSH Allotments.
Column D	Prior FY (2017) DSH Allotments (Col C) x (100percent + Percentage Increase in CPIU): 102.4percent. This column contains the amount in Column C increased by 1 plus the estimated percentage increase in the CPI-U for the prior FY (102.4 percent).
Column E	FY 2018 TC MAP Exp. Including DSH. This column contains the amount of the States’ projected FY 2018 total computable (TC) medical assistance expenditures including DSH expenditures.
Column F	FY 2018 TC DSH Expenditures. This column contains the amount of the States’ projected FY 2018 total computable DSH expenditures.
Column G	FY 2018 TC MAP Exp. Net of DSH. This column contains the amount of the States’ projected FY 2018 total computable medical assistance expenditures net of DSH expenditures, calculated as the amount in Column E minus the amount in Column F.

<p>The Preliminary FY 2018 DSH Allotments for the NON-Low DSH States are presented in the top section of this addendum, and the Preliminary FY 2018 DSH Allotments for the Low-DSH States are presented in the bottom section of this addendum.</p>	
Column	Description
Column H	<p>12 percent Amount. This column contains the amount of the “12 percent limit” in Federal share, determined in accordance with the provisions of section 1923(f)(3) of the Act.</p>
Column I	<p>Greater of FY 2017 Allotment or 12 percent Limit. This column contains the greater of the State’s preliminary prior FY (FY 2017) DSH allotment or the amount of the 12 percent Limit, determined as the maximum of the amount in Column C or Column H</p>
Column J	<p>FY 2018 DSH Allotment. This column contains the States’ preliminary FY 2018 DSH allotments, determined as the minimum of the amount in Column I or Column D.</p> <p>For states with "na" in Columns I or D, refer to the footnotes in the addendum.</p>

ADDENDUM 2: PRELIMINARY DSH ALLOTMENTS FOR FISCAL YEAR: 2018

STATE	FY 2018 FMAPs (percent)	Prior FY (2017) DSH Allotments	Prior FY (2017) DSH Allotment (Col C) x 100% + Pct Increase in CPIU:	FY 2018 TC MAP Exp. Including DSH	FY 2018 TC DSH Expenditures	FY 2018 TC MAP EXP. Net Of DSH Col E - F	"12% Amount" =Col G x .12/(1-.12/Col B)* (In FS)	Greater of Col H Or Col C (12% Limit, FY 2017 allotment)	FY 2018 DSH allotment MIN Col I, Col D
			102.4%						
ALABAMA	71.44	\$337,526,148	\$345,626,776	6,438,602,000	477,896,000	\$5,960,706,000	\$859,689,441	\$859,689,441	\$345,626,776
ARIZONA	69.89	111,136,659	113,803,939	12,761,090,000	131,593,000	12,629,497,000	1,829,695,378	1,829,695,378	113,803,939
CALIFORNIA	50.00	1,203,294,436	1,232,173,502	95,266,251,000	96,560,000	95,169,691,000	15,026,793,316	15,026,793,316	1,232,173,502
COLORADO	50.00	101,532,256	103,969,030	9,012,198,000	202,670,000	8,809,528,000	1,390,978,105	1,390,978,105	103,969,030
CONNECTICUT	50.00	219,529,202	224,797,903	7,595,837,000	134,842,000	7,460,995,000	1,178,051,842	1,178,051,842	224,797,903
DISTRICT OF COLUMBIA	70.00	67,230,818	68,844,358	2,923,831,000	43,077,000	2,880,754,000	417,212,648	417,212,648	68,844,358
FLORIDA	61.79	219,529,202	224,797,903	25,048,032,000	354,933,000	24,693,099,000	3,677,332,606	3,677,332,606	224,797,903
GEORGIA	68.50	294,992,365	302,072,182	11,076,453,000	434,088,000	10,642,365,000	1,548,322,837	1,548,322,837	302,072,182
ILLINOIS	50.74	235,993,892	241,657,745	20,479,620,000	442,416,000	20,037,204,000	3,149,265,042	3,149,265,042	241,657,745
INDIANA	65.59	234,621,836	240,252,760	11,343,341,000	81,086,000	11,262,255,000	1,654,095,105	1,654,095,105	240,252,760
KANSAS	54.74	45,277,897	46,364,567	3,337,185,000	80,472,000	3,256,713,000	500,531,033	500,531,033	46,364,567
KENTUCKY	71.17	159,158,672	162,978,480	9,852,595,000	227,396,000	9,625,199,000	1,389,269,047	1,389,269,047	162,978,480
LOUISIANA	63.69	752,615,495	770,678,267	12,160,406,000	1,032,353,000	11,128,053,000	1,645,375,962	1,645,375,962	770,678,267
MAINE	64.34	115,252,830	118,018,898	2,653,122,000	42,529,000	2,610,593,000	385,094,888	385,094,888	118,018,898
MARYLAND	50.00	83,695,509	85,704,201	12,383,588,000	136,614,000	12,246,974,000	1,933,732,737	1,933,732,737	85,704,201
MASSACHUSETTS	50.00	334,782,032	342,816,801	19,026,584,000	0	19,026,584,000	3,004,197,474	3,004,197,474	342,816,801
MICHIGAN	64.78	290,876,193	297,857,222	18,494,528,000	365,564,000	18,128,964,000	2,670,089,325	2,670,089,325	297,857,222
MISSISSIPPI	75.65	167,391,016	171,408,400	5,660,629,000	226,579,000	5,434,050,000	775,024,445	775,024,445	171,408,400
MISSOURI	64.61%	520,009,796	532,490,031	11,596,997,000	652,923,000	10,944,074,000	1,612,841,561	1,612,841,561	532,490,031
NEVADA	65.75	50,766,127	51,984,514	3,807,476,000	91,764,000	3,715,712,000	545,431,957	545,431,957	51,984,514
NEW HAMPSHIRE	50.00	175,731,503	179,949,059	2,168,807,000	180,511,000	1,988,296,000	313,941,474	313,941,474	179,949,059
NEW JERSEY	50.00	706,609,619	723,568,250	15,882,292,000	784,079,000	15,098,213,000	2,383,928,368	2,383,928,368	723,568,250

STATE	FY 2018 FMAPs (percent)	Prior FY (2017) DSH Allotments	Prior FY (2017) DSH Allotment (Col C) x 100% + Pct Increase in CPIU:	FY 2018 TC MAP Exp. Including DSH	FY 2018 TC DSH Expenditures	FY 2018 TC MAP EXP. Net Of DSH Col E - F	"12% Amount" =Col G x .12/(1-.12/Col B)* (In FS)	Greater of Col H Or Col C (12% Limit, FY 2017 allotment)	FY 2018 DSH allotment MIN Col I, Col D
			102.4%						
NEW YORK	50.00	1,763,093,901	1,805,408,155	77,129,127,000	6,859,300,000	70,269,827,000	11,095,235,842	11,095,235,842	1,805,408,155
NORTH CAROLINA	67.61	323,805,572	331,576,906	13,674,561,000	595,840,000	13,078,721,000	1,908,115,073	1,908,115,073	331,576,906
OHIO	62.78	445,918,692	456,620,741	23,543,753,000	0	23,543,753,000	3,492,895,187	3,492,895,187	456,620,741
PENNSYLVANIA	51.82	616,053,822	630,839,114	28,987,279,000	977,385,000	28,009,894,000	4,374,101,578	4,374,101,578	630,839,114
RHODE ISLAND	51.45	71,346,990	73,059,318	2,635,184,000	139,704,000	2,495,480,000	390,547,364	390,547,364	73,059,318
SOUTH CAROLINA	71.58	359,479,068	368,106,566	6,340,892,000	530,923,000	5,809,969,000	837,618,491	837,618,491	368,106,566
TENNESSEE /1	65.82	na	na	na	na	na	na	na	53,100,000
TEXAS	56.88	1,049,623,997	1,074,814,973	40,052,765,000	1,889,603,000	38,163,162,000	5,804,065,921	5,804,065,921	1,074,814,973
VERMONT	53.47	24,697,037	25,289,766	1,641,243,000	27,449,000	1,613,794,000	249,692,496	249,692,496	25,289,766
VIRGINIA	50.00	96,162,104	98,469,994	9,786,362,000	233,386,000	9,552,976,000	1,508,364,632	1,508,364,632	98,469,994
WASHINGTON	50.00	203,064,512	207,938,060	14,685,096,000	487,940,000	14,197,156,000	2,241,656,211	2,241,656,211	207,938,060
WEST VIRGINIA	73.24	74,091,106	75,869,293	4,158,425,000	74,018,000	4,084,407,000	586,169,762	586,169,762	75,869,293
TOTAL		11,454,890,304	11,729,807,671	541,604,151,000	18,035,493,000	523,568,658,000	80,379,357,149	80,379,357,149	11,782,907,674
LOW DSH STATES									
ALASKA	50.00	22,358,712	22,895,321	2,321,499,000	19,711,000	2,301,788,000	363,440,210.53	363,440,211	22,895,321
ARKANSAS	70.87	47,350,016	48,486,416	6,744,319,000	52,850,000	6,691,469,000	966,654,136	966,654,136	48,486,416
DELAWARE	56.43	9,937,205	10,175,698	2,332,230,000	14,062,000	2,318,168,000	353,313,221	353,313,221	10,175,698
HAWAII	54.78	10,697,430	10,954,168	2,444,830,000	0	2,444,830,000	375,674,017.95	375,674,018	10,954,168
IDAHO	71.17	18,042,558	18,475,579	2,134,805,000	25,206,000	2,109,599,000	304,492,467.46	304,492,467	18,475,579
IOWA	58.48	43,226,550	44,263,987	4,428,123,000	45,204,000	4,382,919,000	661,737,788	661,737,788	44,263,987
MINNESOTA	50.00	81,981,945	83,949,512	12,542,446,000	536,000	12,541,910,000	1,980,301,579	1,980,301,579	83,949,512
MONTANA	65.38	12,459,133	12,758,152	1,633,918,000	19,745,000	1,614,173,000	237,245,330	237,245,330	12,758,152

STATE	FY 2018 FMAPs (percent)	Prior FY (2017) DSH Allotments	Prior FY (2017) DSH Allotment (Col C) x 100% + Pct Increase in CPIU:	FY 2018 TC MAP Exp. Including DSH	FY 2018 TC DSH Expenditures	FY 2018 TC MAP EXP. Net Of DSH Col E - F	"12% Amount" =Col G x .12/(1-.12/Col B)* (In FS)	Greater of Col H Or Col C (12% Limit, FY 2017 allotment)	FY 2018 DSH allotment MIN Col I, Col D
			102.4%						
NEBRASKA	52.55	31,061,430	31,806,904	2,338,039,000	37,064,000	2,300,975,000	357,828,566	357,828,566	31,806,904
NEW MEXICO	72.16	22,358,712	22,895,321	5,395,007,000	34,484,000	5,360,523,000	771,573,151	771,573,151	22,895,321
NORTH DAKOTA	50.00	10,484,694	10,736,327	1,385,355,000	2,002,000	1,383,353,000	218,424,158	218,424,158	10,736,327
OKLAHOMA	58.57	39,748,819	40,702,791	5,193,661,000	43,978,000	5,149,683,000	777,196,307	777,196,307	40,702,791
OREGON	63.62	49,686,028	50,878,493	9,712,803,000	61,563,000	9,651,240,000	1,427,381,377	1,427,381,377	50,878,493
SOUTH DAKOTA	55.34	12,123,113	12,414,068	943,669,000	1,577,000	942,092,000	144,352,666	144,352,666	12,414,068
UTAH	70.26	21,533,602	22,050,408	2,610,371,000	30,619,000	2,579,752,000	373,333,420	373,333,420	22,050,408
WISCONSIN	58.77	103,763,574	106,253,900	8,687,664,000	102,737,000	8,584,927,000	1,294,512,277	1,294,512,277	106,253,900
WYOMING	50.00	248,430	254,392	615,509,000	482,000	615,027,000	97,109,526	97,109,526	254,392
TOTAL LOW DSH STATES		537,061,951	549,951,438	71,464,248,000	491,820,000	70,972,428,000	10,704,570,196	10,704,570,196	549,951,437
TOTAL		11,991,952,255	12,279,759,109	613,068,399,000	18,527,313,000	594,541,086,000	91,083,927,346	91,083,927,346	12,332,859,111
FOOTNOTES:									

/1 Tennessee's DSH allotment for FY 2018, determined under section 1923(f)(6)(A) of the Social Security Act, is \$53,100,000.

Key to ADDENDUM 3: Final IMD DSH Limits for FY 2016

<p>The final FY 2016 IMD DSH Limits for the Non-Low DSH States are presented in the top section of this addendum and the preliminary FY 2016 IMD DSH Limits for the Low-DSH States are presented in the bottom section of the addendum.</p>	
Column	Description
Column A	State.
Column B	Inpatient Hospital Services FY 95 DSH Total Computable. This column contains the States' total computable FY 1995 inpatient hospital DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column C	IMD and Mental Health Services FY 95 DSH Total Computable This column contains the total computable FY 1995 mental health facility DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column D	Total Inpatient Hospital & IMD & Mental Health FY 95 DSH Total Computable, Col. B + C This column contains the total computation of all inpatient hospital DSH expenditures and mental health facility DSH expenditures for FY 1995 as reported on the Form CMS-64 as of January 1, 1997 (representing the sum of Column B and Column C).
Column E	Applicable Percentage, Col. C/D. This column contains the "applicable percentage" representing the total Computable FY 1995 mental health facility DSH expenditures divided by total computable all inpatient hospital and mental health facility DSH expenditures for FY 1995 (the amount in Column C divided by the amount in Column D) Per section 1923(h)(2)(A)(ii)(III) of the Act, for FYs after FY 2002, the applicable percentage can be no greater than 33 percent.
Column F	FY 2016 Federal Share DSH Allotment. This column contains the states' FY 2016 DSH allotments from Addendum 1, Column J.
Column G	FY 2016 FMAP.
Column H	FY 2016 DSH Allotments in Total Computable, Col. F/G. This column contains states' FY 2016 total computable DSH allotment (determined as Column F/Column G).

<p>The final FY 2016 IMD DSH Limits for the Non-Low DSH States are presented in the top section of this addendum and the preliminary FY 2016 IMD DSH Limits for the Low-DSH States are presented in the bottom section of the addendum.</p>	
Column	Description
Column I	<p>Applicable Percentage Applied to FY 2016 Allotments in TC, Col E x Col H. This column contains the applicable percentage of FY 2016 total computable DSH allotment (calculated as the percentage in Column E multiplied by the amount in Column H).</p>
Column J	<p>FY 2016 TC IMD DSH Limit. Lesser of Col. I or C This column contains the total computable FY 2016 TC IMD DSH Limit equal to the lesser of the amount in Column I or Column C.</p>
Column K	<p>FY 2016 IMD DSH Limit in Federal Share, Col. G x J. This column contains the FY 2016 Federal Share IMD DSH limit determined by converting the total computable FY 2016 IMD DSH Limit from Column J into a federal share amount by multiplying it by the FY 2016 FMAP in Column G.</p>

ADDENDUM 3: FINAL IMD DSH LIMIT FOR FY: 2016

STATE	Inpatient Hospital Services FY 95 DSH total computable	IMD And Mental Health Services FY 95 DSH total computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2016 Allotment In FS	FY 2016 FMAPs (percent)	FY 2016 Allotments in TC Col F/G	Applicable Percentage Applied to FY 2013 Allotments in TC Col E x Col H	FY 2016 TC IMD Limit (Lesser Of Col I or Col C)	FY 2016 IMD Limit In FS Col G x J
ALABAMA	\$413,006,229	\$4,451,770	\$417,457,999	1.07	\$334,515,508	69.87	\$478,768,439	\$5,105,584	\$4,451,770	\$3,110,452
ARIZONA	93,916,100	28,474,900	122,391,000	23.27	110,145,351	68.92	159,816,238	37,182,075	28,474,900	19,624,901
CALIFORNIA	2,189,879,543	1,555,919	2,191,435,462	0.07	1,192,561,384	50.00	2,385,122,768	1,693,437	1,555,919	777,960
COLORADO	173,900,441	594,776	174,495,217	0.34	100,626,616	50.72	198,396,325	676,244	594,776	301,670
CONNECTICUT	303,359,275	105,573,725	408,933,000	25.82	217,571,062	50.00	435,142,124	112,340,102	105,573,725	52,786,863
DISTRICT OF COLUMBIA	39,532,234	6,545,136	46,077,370	14.20	66,631,138	70.00	95,187,340	13,521,043	6,545,136	4,581,595
FLORIDA	184,468,014	149,714,986	334,183,000	33.00	217,571,062	60.67	358,613,915	118,342,592	118,342,592	71,798,450
GEORGIA	407,343,557	0	407,343,557	0.00	292,361,115	67.55	432,806,980	0	0	0
ILLINOIS	315,868,508	89,408,276	405,276,784	22.06	233,888,892	50.89	459,596,958	101,391,872	89,408,276	45,499,872
INDIANA	79,960,783	153,566,302	233,527,085	33.00	232,529,074	66.60	349,142,754	115,217,109	115,217,109	76,734,594
KANSAS	11,587,208	76,663,508	88,250,716	33.00	44,874,031	55.96	80,189,476	26,462,527	26,462,527	14,808,430
KENTUCKY	158,804,908	37,443,073	196,247,981	19.08	157,739,021	70.32	224,316,014	42,798,305	37,443,073	26,329,969
LOUISIANA	1,078,512,169	132,917,149	1,211,429,318	10.97	745,902,374	62.21	1,199,007,192	131,554,202	131,554,202	81,839,869
MAINE	99,957,958	60,958,342	160,916,300	33.00	114,224,807	62.67	182,263,933	60,147,098	60,147,098	37,694,186
MARYLAND	22,226,467	120,873,531	143,099,998	33.00	82,948,968	50.00	165,897,936	54,746,319	54,746,319	27,373,159
MASSACHUSETTS	469,653,946	105,635,054	575,289,000	18.36	331,795,869	50.00	663,591,738	121,849,278	105,635,054	52,817,527
MICHIGAN	133,258,800	304,765,552	438,024,352	33.00	288,281,658	65.60	439,453,747	145,019,736	145,019,736	95,132,947
MISSISSIPPI	182,608,033	0	182,608,033	0.00	165,897,935	74.17	223,672,556	0	0	0
MISSOURI	521,946,524	207,234,618	729,181,142	28.42	515,371,453	63.28	814,430,235	231,462,567	207,234,618	131,138,066
NEVADA	73,560,000	0	73,560,000	0.00	50,313,307	64.93	77,488,537	0	0	0
NEW HAMPSHIRE	92,675,916	94,753,948	187,429,864	33.00	174,164,027	50.00	348,328,054	114,948,258	94,753,948	47,376,974
NEW JERSEY	736,742,539	357,370,461	1,094,113,000	32.66	700,306,857	50.00	1,400,613,714	457,482,882	357,370,461	178,685,231
NEW YORK	2,418,869,368	605,000,000	3,023,869,368	20.01	1,747,367,593	50.00	3,494,735,186	699,208,375	605,000,000	302,500,000

STATE	Inpatient Hospital Services FY 95 DSH total computable	IMD And Mental Health Services FY 95 DSH total computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2016 Allotment In FS	FY 2016 FMAPs (percent)	FY 2016 Allotments in TC Col F/G	Applicable Percentage Applied to FY 2013 Allotments in TC Col E x Col H	FY 2016 TC IMD Limit (Lesser Of Col I or Col C)	FY 2016 IMD Limit In FS Col G x J
NORTH CAROLINA	193,201,966	236,072,627	429,274,593	33.00	320,917,316	66.24	484,476,624	159,877,286	159,877,286	105,902,714
OHIO	535,731,956	93,432,758	629,164,714	14.85	441,941,221	62.47	707,445,527	105,057,683	93,432,758	58,367,444
PENNSYLVANIA	388,207,319	579,199,682	967,407,001	33.00	610,558,793	52.01	1,173,925,770	387,395,504	387,395,504	201,484,402
RHODE ISLAND	108,503,167	2,397,833	110,901,000	2.16	70,710,595	50.42	140,243,148	3,032,251	2,397,833	1,208,987
SOUTH CAROLINA	366,681,364	72,076,341	438,757,705	16.43	356,272,614	71.08	501,227,651	82,338,509	72,076,341	51,231,863
TENNESSEE	0	0	0	0.00	53,100,000	65.05	81,629,516	0	0	0
TEXAS	1,220,515,401	292,513,592	1,513,028,993	19.33	1,040,261,642	57.13	1,820,867,569	352,027,962	292,513,592	167,113,015
VERMONT	19,979,252	9,071,297	\$29,050,549	31.23	\$24,476,746	54.45	44,952,702	14,036,888	9,071,297	4,939,321
VIRGINIA	129,313,480	7,770,268	137,083,748	5.67	\$95,304,365	50.00	190,608,730	10,804,205	7,770,268	3,885,134
WASHINGTON	171,725,815	163,836,435	335,562,250	33.00	\$201,253,233	50.00	402,506,466	132,827,134	132,827,134	66,413,567
WEST VIRGINIA	66,962,606	18,887,045	85,849,651	22.00	\$73,430,234	71.42	102,814,665	22,619,372	18,887,045	13,489,128
TOTAL	13,402,460,846	4,118,758,904	17,521,219,750		11,405,815,861		20,317,280,526	3,861,166,398	3,471,780,297	1,944,948,290
LOW DSH STATES										
ALASKA	2,506,827	17,611,765	20,118,592	33.00	22,159,278	50.00	44,318,556	14,625,123	14,625,123	7,312,562
ARKANSAS	2,422,649	819,351	3,242,000	25.27	46,927,667	70.00	67,039,524	16,942,906	819,351	573,546
DELAWARE	0	7,069,000	7,069,000	33.00	9,848,568	54.83	17,962,006	5,927,462	5,927,462	3,250,027
HAWAII	0	0	0	0.00	10,602,012	53.98	19,640,630	0	0	0
IDAHO	2,081,429	0	2,081,429	0.00	17,881,623	71.24	25,100,538	0	0	0
IOWA	12,011,250	0	12,011,250	0.00	42,840,981	54.91	78,020,362	0	0	0
MINNESOTA	24,240,000	5,257,214	29,497,214	17.82	81,250,689	50.00	162,501,378	28,962,210	5,257,214	2,628,607
MONTANA	237,048	0	237,048	0.00	12,348,001	65.24	18,927,040	0	0	0
NEBRASKA	6,449,102	1,811,337	8,260,439	21.93	30,784,371	51.16	60,172,735	13,194,589	1,811,337	926,680
NEW MEXICO	6,490,015	254,786	6,744,801	3.78	22,159,278	70.37	31,489,666	1,189,527	254,786	179,293
NORTH DAKOTA	214,523	988,478	1,203,001	33.00	10,391,173	50.00	20,782,346	6,858,174	988,478	494,239

Key to ADDENDUM 4: Preliminary IMD DSH Limits for FY 2018

<p>The preliminary FY 2018 IMD DSH Limits for the Non-Low DSH States are presented in the top section of this addendum and the preliminary FY 2018 IMD DSH Limits for the Low-DSH States are presented in the bottom section of the addendum.</p>	
Column	Description
Column A	State.
Column B	Inpatient Hospital Services FY 95 DSH Total Computable. This column contains the States' total computable FY 1995 inpatient hospital DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column C	IMD and Mental Health Services FY 95 DSH Total Computable This column contains the total computable FY 1995 mental health facility DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column D	Total Inpatient Hospital & IMD & Mental Health FY 95 DSH Total Computable, Col. B + C This column contains the total computation of all inpatient hospital DSH expenditures and mental health facility DSH expenditures for FY 1995 as reported on the Form CMS-64 as of January 1, 1997 (representing the sum of Column B and Column C).
Column E	Applicable Percentage, Col. C/D. This column contains the "applicable percentage" representing the total Computable FY 1995 mental health facility DSH expenditures divided by total computable all inpatient hospital and mental health facility DSH expenditures for FY 1995 (the amount in Column C divided by the amount in Column D) Per section 1923(h)(2)(A)(ii)(III) of the Act, for FYs after FY 2002, the applicable percentage can be no greater than 33 percent.
Column F	FY 2018 Federal Share DSH Allotment. This column contains the states' preliminary FY 2017 DSH allotments from Addendum 1, Column J.
Column G	FY 2018 FMAP.

<p>The preliminary FY 2018 IMD DSH Limits for the Non-Low DSH States are presented in the top section of this addendum and the preliminary FY 2018 IMD DSH Limits for the Low-DSH States are presented in the bottom section of the addendum.</p>	
Column	Description
Column H	<p>FY 2018 DSH Allotments in Total Computable, Col. F/G. This column contains states' FY 2018 total computable DSH allotment (determined as Column F/Column G).</p>
Column I	<p>Applicable Percentage Applied to FY 2018 Allotments in TC, Col E x Col H. This column contains the applicable percentage of FY 2018 total computable DSH allotment (calculated as the percentage in Column E multiplied by the amount in Column H).</p>
Column J	<p>FY 2018 TC IMD DSH Limit. Lesser of Col. I or C. This column contains the total computable FY 2018 TC IMD DSH Limit equal to the lesser of the amount in Column I or Column C.</p>
Column K	<p>FY 2018 IMD DSH Limit in Federal Share, Col. G x J. This column contains the FY 2018 Federal Share IMD DSH limit determined by converting the total computable FY 2018 IMD DSH Limit from Column J into a federal share amount by multiplying it by the FY 2018 FMAP in Column G.</p>

ADDENDUM 4: PRELIMINARY IMD DSH LIMIT FOR FISCAL YEAR: 2018

STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2018 Allotment In FS	FY 2018 FMAPs	FY 2018 Allotments in TC Col F/G	Applicable Percentage Applied to FY 2018 Allotments in TC Col E x Col H	FY 2018 TC IMD Limit (Lesser Of Col I or Col C)	FY 2018 IMD Limit In FS Col G x J
ALABAMA	\$413,006,229	\$4,451,770	\$417,457,999	1.07	345,626,776	71.44	\$483,800,078	\$5,159,242	\$4,451,770	\$3,180,344
ARIZONA	93,916,100	28,474,900	122,391,000	23.27	113,803,939	69.89	162,832,936	37,883,926	28,474,900	19,901,108
CALIFORNIA	2,189,879,543	1,555,919	2,191,435,462	0.07	1,232,173,502	50.00	2,464,347,004	1,749,686	1,555,919	777,960
COLORADO	173,900,441	594,776	174,495,217	0.34	103,969,030	50.00	207,938,060	708,768	594,776	297,388
CONNECTICUT	303,359,275	105,573,725	408,933,000	25.82	224,797,903	50.00	449,595,806	116,071,591	105,573,725	52,786,863
DISTRICT OF COLUMBIA	39,532,234	6,545,136	46,077,370	14.20	68,844,358	70.00	98,349,083	13,970,158	6,545,136	4,581,595
FLORIDA	184,468,014	149,714,986	334,183,000	33.00	224,797,903	61.79	363,809,521	120,057,142	120,057,142	74,183,308
GEORGIA	407,343,557	0	407,343,557	0.00	302,072,182	68.50	440,981,288	0	0	0
ILLINOIS	315,868,508	89,408,276	405,276,784	22.06	241,657,745	50.74	476,266,742	105,069,400	89,408,276	45,365,759
INDIANA	79,960,783	153,566,302	233,527,085	33.00	240,252,760	65.59	366,294,801	120,877,284	120,877,284	79,283,411
KANSAS	11,587,208	76,663,508	88,250,716	33.00	46,364,567	54.74	84,699,611	27,950,872	27,950,872	15,300,307
KENTUCKY	158,804,908	37,443,073	196,247,981	19.08	162,978,480	71.17	228,998,848	43,691,765	37,443,073	26,648,235
LOUISIANA	1,078,512,169	132,917,149	1,211,429,318	10.97	770,678,267	63.69	1,210,045,952	132,765,367	132,765,367	84,558,262
MAINE	99,957,958	60,958,342	160,916,300	33.00	118,018,898	64.34	183,430,056	60,531,918	60,531,918	38,946,236
MARYLAND	22,226,467	120,873,531	143,099,998	33.00	85,704,201	50.00	171,408,402	56,564,773	56,564,773	28,282,386
MASSACHUSETTS	469,653,946	105,635,054	575,289,000	18.36	342,816,801	50.00	685,633,602	125,896,623	105,635,054	52,817,527
MICHIGAN	133,258,800	304,765,552	438,024,352	33.00	297,857,222	64.78	459,798,120	151,733,380	151,733,380	98,292,883
MISSISSIPPI	182,608,033	0	182,608,033	0.00	171,408,400	75.65	226,580,833	0	0	0
MISSOURI	521,946,524	207,234,618	729,181,142	28.42	532,490,031	64.61	824,160,395	234,227,896	207,234,618	133,894,287
NEVADA	73,560,000	0	73,560,000	0.00	51,984,514	65.75	79,063,900	0	0	0
NEW HAMPSHIRE	92,675,916	94,753,948	187,429,864	33.00	179,949,059	50.00	359,898,118	118,766,379	94,753,948	47,376,974
NEW JERSEY	736,742,539	357,370,461	1,094,113,000	32.66	723,568,250	50.00	1,447,136,500	472,678,634	357,370,461	178,685,231
NEW YORK	2,418,869,368	605,000,000	3,023,869,368	20.01	1,805,408,155	50.00	3,610,816,310	722,433,281	605,000,000	302,500,000

STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2018 Allotment In FS	FY 2018 FMAPs	FY 2018 Allotments in TC Col F/G	Applicable Percentage Applied to FY 2018 Allotments in TC Col E x Col H	FY 2018 TC IMD Limit (Lesser Of Col I or Col C)	FY 2018 IMD Limit In FS Col G x J
NORTH CAROLINA	193,201,966	236,072,627	429,274,593	33.00	331,576,906	67.61	490,425,833	161,840,525	161,840,525	109,420,379
OHIO	535,731,956	93,432,758	629,164,714	14.85	456,620,741	62.78	727,334,726	108,011,285	93,432,758	58,657,085
PENNSYLVANIA	388,207,319	579,199,682	967,407,001	33.00	630,839,114	51.82	1,217,366,102	401,730,814	401,730,814	208,176,908
RHODE ISLAND	108,503,167	2,397,833	110,901,000	2.16	73,059,318	51.45	142,000,618	3,070,250	2,397,833	1,233,685
SOUTH CAROLINA	366,681,364	72,076,341	438,757,705	16.43	368,106,566	71.58	514,258,963	84,479,210	72,076,341	51,592,245
TENNESSEE*	0	0	0	0.00	53,100,000	65.82	80,674,567	0	0	0
TEXAS	1,220,515,401	292,513,592	1,513,028,993	19.33	1,074,814,973	56.88	1,889,618,448	365,319,556	292,513,592	166,381,731
VERMONT**	19,979,252	9,071,297	29,050,549	31.23	25,289,766	53.47	47,297,112	14,768,952	9,071,297	4,850,423
VIRGINIA	129,313,480	7,770,268	137,083,748	5.67	98,469,994	50.00	196,939,988	11,163,077	7,770,268	3,885,134
WASHINGTON	171,725,815	163,836,435	335,562,250	33.00	207,938,060	50.00	415,876,120	137,239,120	137,239,120	68,619,560
WEST VIRGINIA	66,962,606	18,887,045	85,849,651	22.00	75,869,293	73.24	103,589,969	22,789,940	18,887,045	13,832,872
TOTAL	13,402,460,846	4,118,758,904	17,521,219,750		11,782,907,674		20,911,268,411	3,979,200,811	3,511,481,984	1,974,310,086
LOW DSH STATES										
ALASKA	2,506,827	17,611,765	20,118,592	33.00	22,895,321	50.00	45,790,642	15,110,912	15,110,912	7,555,456
ARKANSAS	2,422,649	819,351	3,242,000	25.27	48,486,416	70.87	68,415,995	17,290,782	819,351	580,674
DELAWARE	0	7,069,000	7,069,000	33.00	10,175,698	56.43	18,032,426	5,950,701	5,950,701	3,357,980
HAWAII	0	0	0	0.00	10,954,168	54.78	19,996,656	0	0	0
IDAHO	2,081,429	0	2,081,429	0.00	18,475,579	71.17	25,959,785	0	0	0
IOWA	12,011,250	0	12,011,250	0.00	44,263,987	58.48	75,690,812	0	0	0
MINNESOTA	24,240,000	5,257,214	29,497,214	17.82	83,949,512	50.00	167,899,024	29,924,219	5,257,214	2,628,607
MONTANA	237,048	0	237,048	0.00	12,758,152	65.38	19,513,845	0	0	0
NEBRASKA	6,449,102	1,811,337	8,260,439	21.93	31,806,904	52.55	60,526,934	13,272,258	1,811,337	951,858
NEW MEXICO	6,490,015	254,786	6,744,801	3.78	22,895,321	72.16	31,728,549	1,198,551	254,786	183,854
NORTH DAKOTA	214,523	988,478	1,203,001	33.00	10,736,327	50.00	21,472,654	7,085,976	988,478	494,239

STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2018 Allotment In FS	FY 2018 FMAPs	FY 2018 Allotments in TC Col F/G	Applicable Percentage Applied to FY 2018 Allotments in TC Col E x Col H	FY 2018 TC IMD Limit (Lesser Of Col I or Col C)	FY 2018 IMD Limit In FS Col G x J
OKLAHOMA	20,019,969	3,273,248	23,293,217	14.05	40,702,791	58.57	69,494,265	9,765,588	3,273,248	1,917,141
OREGON	11,437,908	19,975,092	31,413,000	33.00	50,878,493	63.62	79,972,482	26,390,919	19,975,092	12,708,154
SOUTH DAKOTA	321,120	751,299	1,072,419	33.00	12,414,068	55.34	22,432,360	7,402,679	751,299	415,769
UTAH	3,621,116	934,586	4,555,702	20.51	22,050,408	70.26	31,384,014	6,438,318	934,586	656,640
WISCONSIN	6,609,524	4,492,011	11,101,535	33.00	106,253,900	58.77	180,796,155	59,662,731	4,492,011	2,639,955
WYOMING	0	0	0	0.00	254,392	50.00	508,784	0	0	0
TOTAL LOW DSH STATES	98,662,480	63,238,167	161,900,647		549,951,437		939,615,382	199,493,634	59,619,014	34,090,327
TOTAL	13,501,123,326	4,181,997,071	17,683,120,397		12,332,859,111		21,850,883,793	4,178,694,444	3,571,100,998	2,008,400,413

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