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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Office of the Secretary

[Document Identifier: 0937-0198-30D]

Agency Information Collection Activities; Submission to OMB for Review and Approval; Public Comment Request

AGENCY: Office of the Secretary, HHS

ACTION: Notice

**SUMMARY:** In compliance with the Paperwork Reduction Act of 1995, the Office of the Secretary (OS), Department of Health and Human Services, has submitted an Information Collection Request (ICR), described below, to the Office of Management and Budget (OMB) for review and approval. The ICR is for renewal of the approved information collection assigned OMB control number <OCN>, scheduled to expire on <expiration date>. Comments submitted during the first public review of this ICR will be provided to OMB. OMB will accept further comments from the public on this ICR during the review and approval period.

**DATES:** Comments on the ICR must be received on or before [INSERT DATE 30 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

**ADDRESSES:** Submit your comments to [OIRA\\_submission@omb.eop.gov](mailto:OIRA_submission@omb.eop.gov) or via facsimile to (202) 395-5806.

**FOR FURTHER INFORMATION CONTACT:** Information Collection Clearance staff,

Information.CollectionClearance@hhs.gov or (202) 795-7714.

SUPPLEMENTARY INFORMATION: When submitting comments or requesting information, please include the OMB control number 0937-0198 and document identifier 0937-0198-30D for reference.

**Information Collection Request Title:** Public Health Service Polices on Research Misconduct (42 CFR Part 93)-OMB No 0937-0198-Extension- Office of Resource Integrity

OMB No.: 0937-0198

Abstract: This is a request to extend the currently approved collection, OMB No 0937-0198, which involves two forms: PHS-6349 and PHS-6315. The purpose of the Institutional Assurance and Annual Report on Possible Research Misconduct form (PHS-6349) is to provide data on the amount of research misconduct activity occurring in institutions conducting PHS-supported research, as well as providing an annual assurance that those institutions have established and will follow administrative policies and procedures for responding to allegations of research misconduct that comply with the Public Health Service (PHS) Regulations on Research Misconduct (42 CFR Part 93). The purpose of the Assurance of Compliance by Sub-Award Recipients form (PHS-6315) is to establish a similar assurance of compliance with 42 CFR Part 93 for sub-awardee institutions, as well as provide data on the amount of research misconduct activity occurring in those sub-awardee institutions. Research misconduct is defined as receipt of an allegation of research misconduct and/or the conduct of an inquiry and/or investigation into such allegations. These data enable the ORI to monitor institutional compliance with the PHS regulation. Lastly, the forms will be used to respond to congressional requests for information to

prevent misuse of Federal funds and to protect the public interest.

Need and Proposed Use of the Information: Public Health Service Polices on Research Misconduct (42 CFR Part 93)-OMB No 0937-0198-Extension- Office of Research Integrity.

Likely Respondents: PHS awardee and sub-awardee institutions.

The total annual burden hours estimated for this ICR are summarized in the table below.

Total Estimated Annualized Burden - Hours

Form Name	Number of Respondents	Number of Responses per Respondent	Average Burden per Response (in hours)	Total Burden Hours
PHS-6349	5435	1	10/60	906
PHS-6315	200	1	5/60	17
Total				923

TERRY S. CLARK

Asst Information Collection Clearance Officer

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