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U.S. OFFICE OF PERSONNEL MANAGEMENT

Privacy Act of 1974; Routine Use Implementation; System of Records

AGENCY: U.S. Office of Personnel Management.

ACTION: Notice; Routine Use Implementation and Response to Comments.

SUMMARY: Pursuant to the provisions of the Privacy Act of 1974, 5 U.S.C. 552a, and Office of Management and Budget (OMB), Circular No. A-130, notice is given that the U.S. Office of Personnel Management is implementing the modification, proposed in 80 FR 42133, to all of its systems of records, as identified in the list below.

SUPPLEMENTARY INFORMATION: On July 16, 2015, OPM published a notice to establish a new Privacy Act routine use which is applicable to all OPM systems of records. The new routine use allows OPM to disclose information to appropriate persons and entities for response and remediation purposes in the event of suspected or confirmed compromise of information in any of its systems. 80 FR 42133 (July 16, 2015). The July 16th notice invited comments on the new routine use until August 17, 2015. OPM received 5 comments during this period. After reviewing and considering the comments, OPM has decided to implement the new routine use without substantive alteration. A description of the comments and OPM's corresponding responses are included below.

Two Federal agencies requested administrative changes that were unrelated to the form or substance of this routine use. In response to one agency comment, OPM re-listed all of the OPM systems to which the new routine use will apply, including OPM/Central-19, which had been inadvertently left out of the prior notice. OPM determined that the other suggested changes do

not affect implementation of the newly proposed use because they pertain specifically to other OPM systems of records. Therefore, those suggestions will be considered in future updates to notices regarding those systems.

OPM also received comments from a non-governmental organization regarding the location of certain records in other OPM systems and requesting notice in the event that those systems are compromised. OPM plans to continue fulfilling its breach notification responsibilities whenever appropriate and will respond separately to the organization with regard to its other comments, which seek OPM's response to a previous communication.

Finally, one individual and one Federal employee union sought information about security measures that would be taken to convey information shared outside of OPM pursuant to the new routine use. As with information shared outside the agency pursuant any routine use associated with its systems, OPM will transmit such information in accordance with applicable information security laws, guidelines, and standards including, but not limited to, the Federal Information Security Management Act (Pub. L. 107-296), and associated OMB policies, standards and guidance from the National Institute of Standards and Technology.

The individual commenter and employee union also questioned whether the routine use is appropriately tailored to address activities related to the suspected or confirmed compromise of information, OPM adopted the model language developed by the Office of Management and Budget (OMB Memorandum 07-16, Safeguarding Against and Responding to the Breach of Personally Identifiable Information, Attachment 2) and adopted by a number of other Federal agencies. As drafted, this routine use permits the agency to protect sensitive information contained in OPM's systems while also facilitating mitigation and prevention activities in the

event of confirmed or suspected compromise of information. Therefore, OPM has adopted the new routine use, first published on July 16, 2015, without further change.

A description of the modification to the agency's systems of records is provided below. In accordance with 5 U.S.C. 552a(r), the agency has provided a report to OMB and the Congress.

U.S. Office of Personnel Management.

Beth F. Cobert,
Director.

U.S. Office of Personnel Management Privacy Act notices and citations follow. An asterisk (*) designates the last publication of the complete document in the Federal Register.

SORN	Title	FR#
2013 statement	2013 OPM Statement of Routine Uses for OPM's Internal and Central Systems of Records	60 FR 63075
CENTRAL-1	Civil Service Retirement and Insurance Records	73 FR 15013*
		64 FR 54930
		63 FR 45881
		60 FR 63075
CENTRAL-2	Complaints and Inquiries Records	60 FR 63075
CENTRAL-4	Inspector General Investigations Case File	60 FR 63075
CENTRAL-5	Intergovernmental Personnel Act Assignment Records	64 FR 60249*
		60 FR 63075
CENTRAL-6	Administrative Law Judge Application Records	60 FR 63075
CENTRAL-7	Litigation and Claims Records	60 FR 63075
CENTRAL-8	Privacy Act/Freedom of Information Act (PA/FOIA) Case Records	64 FR 53424*
		60 FR 63075
		vol. 58, no. 68, 4/12/1993
CENTRAL-9	Personnel Investigations Records	75 FR 28307*
		60 FR 63075
CENTRAL-10	Federal Executive Institute Program Participants Records	64 FR 59221*
		60 FR 63075
CENTRAL-11	Presidential Management Fellows (PMF) Program Records	77 FR 61791*
		74 FR 42334
		60 FR 63075
CENTRAL-13	Executive Personnel Records	64 FR 60247*
		60 FR 63075
CENTRAL-14	Debarment or Suspension Records for Federal Employee Health Benefits	60 FR 63075*
		60 FR 39194
CENTRAL-15	Health Claims Data Warehouse	78 FR 23313*
		76 FR 35050
CENTRAL-16	Health Claims Disputes External Review Services	76 FR 70512*
		75 FR 56601
CENTRAL-18	Federal Employees Health Benefits Program Claims Data Warehouse	76 FR 35052
CENTRAL-19	External Review Records for Multi-State Plan (MSP) Program	78 FR 65011
CENTRAL-X	Federal Competency Assessment Tool	72 FR 60396
GOVT-1	General Personnel Records	77 FR 73694*
		76 FR 32997
		71 FR 35342

SORN	Title	FR#
		65 FR 24732
		61 FR 36919
GOVT-2	Employee Performance File System Records	71 FR 35342*
		65 FR 24732
		61 FR 36919
GOVT-3	Records of Adverse Actions, Performance Based Reductions In Grade and Removal Actions, and Terminations of Probationers	71 FR 35342*
		65 FR 24732
		61 FR 36919
GOVT-5	Recruiting, Examining and Placement Records	79 FR 16834*
		71 FR 35342
		65 FR 24732
GOVT-6	Personnel Research and Test Validation Records	71 FR 35342*
		65 FR 24732
		61 FR 36919
GOVT-7	Applicant Race, Sex, National Origin, and Disability Status Records	71 FR 35342*
		65 FR 24732
		61 FR 36919
GOVT-9	File on Position Classification Appeals, Job Grading Appeals, Retained Grade or Pay Appeals, Fair Labor Standard Act (FLSA) Claims and Complaints, Federal Civilian Employee Compensation and Leave Claims, and Settlement of Accounts for Deceased Civilian Officers and Employees	78 FR 60331*
		71 FR 35342
		65 FR 24732
		61 FR 36919
GOVT-10	Employee Medical File Systems Records	75 FR 35099*
		71 FR 35342
		65 FR 24732
Internal-1	Defense Mobilization Emergency Cadre Records	64 FR 72705*
		60 FR 63075
Internal-2	Negotiated Grievance Procedure Records	60 FR 63075
Internal-3	Security Officer Control Files	65 FR 14635*
		60 FR 63075
Internal-4	Health Program Records	64 FR 51807*
		60 FR 63075
Internal-5	Pay, Leave, and Travel Records	64 FR 61949*
		60 FR 63075
Internal-6	Appeal and Administrative Review Records	60 FR 63075
Internal-7	Complaints and Inquiries Records	60 FR 63075
Internal-8	Employee Counseling Services Program Records	60 FR 63075
Internal-9	Employee Locator Card Files (PDF file)	64 FR 51807*
		60 FR 63075
Internal-10	Motor Vehicle Operator and Accident Report Records	60 FR 63075
Internal-11	Administrative Grievance Records	60 FR 63075
Internal-12	Telephone Call Detail Records	64 FR 54934
Internal-13	Parking Program Records	65 FR 540
Internal-14	Photo Identification and Visitor Access Control Records	64 FR 73108

SORN	Title	FR#
Internal-15	OPM Child Care Tuition Assistance Records	65 FR 30643
Internal-16	Adjudications Officer Control Files	79 FR 30202*
		66 FR 42568
Internal-17	Web-Enabled Voting Rights System (WEVRS)	71 FR 38190
Internal-18	CyberCorps®: Scholarship For Service (SFS)	79 FR 42064*
		74 FR 42336
Internal-19	Investigation Training Records	79 FR 8515
Internal-20	Integrity Assurance Officer Control Files	80 FR 2447

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Routine Uses of Records Maintained in the System, Including Categories of Users and the Purposes of Such Uses:

To appropriate agencies, entities, and persons when (1) OPM suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) the agency has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by OPM or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with OPM's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

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