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**DEPARTMENT OF STATE**

**22 CFR Part 96**

[Public Notice: 9228]

**RIN 1400-AD82**

Intercountry Adoptions: Regulatory Change to Prevent Accreditation and Approval  
Renewal Requests from Coming Due at the Same Time

**AGENCY:** Department of State.

**ACTION:** Final rule.

**SUMMARY:** This rule amends the Department of State (Department) regulation on the accreditation and approval of adoption service providers in intercountry adoptions. Most agencies and persons currently accredited received that accreditation at approximately the same time, which has resulted in a surge of concurrent renewal applications for consideration by the Council on Accreditation (COA), the designated accrediting entity. Permitting some agencies or persons to qualify for an extension by one year of the accreditation or approval period will result in a more even distribution of applications for renewal in a given year. By distributing renewals, and the resources needed to process them, COA will be further enabled to effectively and consistently carry out its other functions.

**DATES:** Effective [*insert date 30 days from publication in the Federal Register*]

**FOR FURTHER INFORMATION CONTACT:** Carine Rosalia, Office of Legal Affairs, Overseas Citizen Services, U.S. Department of State, CA/OCS/L, SA-17, Floor 10, Washington, DC 20522-1710; (202) 485-6079.

**SUPPLEMENTARY INFORMATION:**

*Why is the Department promulgating this rule?*

This rule amends procedural aspects of the Intercountry Adoption Accreditation Regulations concerning the length of accreditation or approval found in 22 CFR Part 96. Subpart G governs decisions on applications for accreditation and approval. Section 96.60 provides for accreditation or approval for a period of four years. Section 96.60 does not currently provide the opportunity to stagger the renewal applications, which results in many renewal applications coming due at the same time.

This rule aids the accrediting entity in managing its workload. In particular, the amendments to this section will allow for a one-year extension of previously-granted accreditation or approval, not to exceed five years total, based on criteria included in the rule, and summarized here.

The final rule establishes criteria for selecting which agencies or persons are eligible for the one-year extension. As a threshold matter, only agencies and persons that have no pending adoption-related complaint investigations or adverse actions will be eligible for an extension under this procedure. Also, those entities that have undergone a change in corporate or internal structure (such as a merger or a leadership change in chief executive or chief financial officer) since their initial accreditation/approval or last renewal will not qualify for an extension under this procedure. If the agency or person meets the threshold

criteria, in order to ensure that the extension achieves its purpose of staggering renewals thereafter, the Secretary in his discretion may consider additional factors including, but not limited to, the agency's or person's volume of intercountry adoption cases in the year preceding the application for renewal or extension, the agency's or person's U.S. state licensure record, and the number of extensions available.

Since the President signed into law the Intercountry Adoption Universal Accreditation Act of 2012, approximately 40 new agencies received accreditation, all in the same year. The resulting surge in the number of agencies requiring review in certain years argued strongly for establishing a mechanism that would allow COA to better manage the distribution of renewals. The procedure outlined in this rulemaking allows a more even distribution of the number of renewals an accrediting entity must review in a given year.

#### *Administrative Procedure Act*

The Department published this rule as a notice of proposed rulemaking on June 10, 2015, with a 30-day period for public comments. *See* 80 FR 32869. The Department received no comments on the rulemaking.

#### *Regulatory Flexibility Act/Executive Order 13272: Small Business.*

Consistent with section 605(b) of the Regulatory Flexibility Act (5 U.S.C. 605(b)), the Department certifies that this rule does not have a significant economic impact on a substantial number of small entities. For the small business entities affected by the amended rule, the cost is neutral because it does not change the cost per year of accreditation or renewal, but only potentially the year in which renewal takes place.

#### *Unfunded Mandates Reform Act of 1995*

This rulemaking is not affected by the provisions of section 202 of the Unfunded Mandates Reform Act of 1995 (codified at 2 U.S.C. 1532).

*Small Business Regulatory Enforcement Fairness Act of 1996*

This rule is not a major rule as defined by 5 U.S.C. 804, for purposes of congressional review of agency rulemaking under the Small Business Regulatory Enforcement Fairness Act of 1996 (Public Law 104-121).

*Executive Order 12866*

The Department of State has reviewed this rule to ensure its consistency with the regulatory philosophy and principles set forth in Executive Order 12866 and has determined that the benefits of this final regulation justify its costs. The Department does not consider this rulemaking to be an economically significant action under the Executive Order. The rule does not add any new legal requirements to Part 96; it merely adds administrative flexibility to the work of the Department-designated accrediting entity.

*Executive Orders 12372 and 13132: Federalism*

This rule does not have a substantial direct effect on the States, on the relationship between the national government and the States, or the distribution of power and responsibilities among the various levels of government. Nor does it have federalism implications warranting the application of Executive Orders 12372 and No. 13132.

*Executive Order 12988: Civil Justice Reform*

The Department has reviewed the rule in light of Executive Order No. 12988 to eliminate ambiguity, minimize litigation, establish clear legal standards, and reduce burden.

*Executive Order 13563: Improving Regulation and Regulatory Review*

The Department has considered this rule in light of Executive Order 13563, dated January 18, 2011, and affirms that it is consistent with the guidance therein.

*Paperwork Reduction Act*

This rule does not impose or revise information collection requirements subject to the provisions of the Paperwork Reduction Act, 44 U.S.C. Chapter 35.

**List of Subjects in 22 CFR Part 96**

Adoption, Child welfare, Children, Immigration, Foreign persons.

For the reasons stated in the preamble, the Department of State amends 22 CFR Part 96 as follows:

**PART 96—INTERCOUNTRY ADOPTION ACCREDITATION OF AGENCIES  
AND APPROVAL OF PERSONS**

1. The authority citation for part 96 continues to read as follows:

Authority: The Convention on Protection of Children and Co-operation in Respect of Intercountry Adoption (done at the Hague, May 29, 1993), S. Treaty Doc. 105-51 (1998), 1870 U.N.T.S. 167 (Reg. No. 31922 (1993)); The Intercountry Adoption Act of 2000, 42 U.S.C. 14901-14954; The Intercountry Adoption Universal Accreditation Act of 2012, Pub. L. 112-276, 42 U.S.C. 14925.

2. Revise § 96.60 to read as follows:

**§96.60 Length of accreditation or approval period.**

(a) The accrediting entity will accredit or approve an agency or person for a period of four years, except as provided in paragraph (b) of this section. The accreditation or

approval period will commence on the date that the agency or person is granted accreditation or approval.

- (b) In order to stagger the renewal requests from agencies and persons applying for accreditation or approval and to prevent the renewal requests from coming due at the same time, the accrediting entity may extend the period of accreditation it has previously granted for no more than one year and such that the total period of accreditation does not exceed five years, as long as the agency or person remains in substantial compliance with the applicable standards in subpart F of this part. The only agencies and persons that may qualify for an extension are: those that have no pending Complaint Registry investigations or adverse actions (see §96.70); and those that have not undergone a change in corporate or internal structure (such as a merger or change in chief executive or financial officer) during their current accreditation or approval period. For agencies and persons that meet these two criteria, the Secretary, in his or her discretion, may consider additional factors in deciding upon an extension including, but not limited to, the agency's or person's volume of intercountry adoption cases in the year preceding the application for renewal or extension, the agency's or person's state licensure record, and the number of extensions available.

August 11, 2015

(Date)

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Michele Thoren Bond,  
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